

# Package ‘HCTDesign’

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**Title** Group Sequential Design for Historical Control Trial with Survival Outcome

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**RdMacros** Rdpack

## Description

It provides functions to design historical controlled trials with survival outcome by group sequential method. The options for interim look boundaries are efficacy only, efficacy & futility or futility only. It also provides the function to monitor the trial for any unplanned look. The package is based on Jianrong Wu, Xiaoping Xiong (2016) <[doi:10.1002/pst.1756](https://doi.org/10.1002/pst.1756)> and Jianrong Wu, Yimei Li (2020) <[doi:10.1080/10543406.2019.1684305](https://doi.org/10.1080/10543406.2019.1684305)>.

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EffDesign

*HCT design with interim monitoring for efficacy only***Description**

The group sequential design for historical controlled survival outcome trials with efficacy boundaries only.

**Usage**

```
EffDesign(k, alpha, beta, delta, d1, option = "OBF", param = 4)
```

**Arguments**

k	vector of time fraction for all planned looks: $k=c(1/3,2/3,1)$ if the three planned looks will be carried out at 1/3, 2/3 and all of the total events in the experiment arm.
alpha	type I error.
beta	type II error.
delta	hazard ratio: hazard of experiment group over hazard of control group.
d1	total number of events in the historical control group.
option	type of spending function: "OBF", "Gamma", "Rho" or "Pocock". Default is "OBF".
param	Parameter for Gamma family or Rho family. Default value is 4.

**Value**

List of dataframes and vectors containing the details about the following: design of the trial which includes the number of looks and events; details about futility and efficacy boundaries which include transformed information time at each look, cumulative beta and alpha respectively, p-values and crossing probabilities; etam(drift parameter); d2max(maximum number of events in the experimental group); delta\_used(hazard ratio used in the design).

**Author(s)**

Tushar Patni, Yimei Li, Jianrong Wu, and Arzu Onar-Thomas.

**References**

Wu J, Xiong X (2016). "Survival trial design and monitoring using historical controls." *Pharmaceutical Statistics*, **15**(5), 405-411.

Wu J, Li Y (2020). "Group sequential design for historical control trials using error spending functions." *Journal of Biopharmaceutical Statistics*, **30**(2), 351-363.

**Examples**

```
#HCT trial design with three equally spaced looks for efficacy only using OBF spending function.
gg<-EffDesign(k=c(0.33333,0.66667,1),alpha=0.05,beta=0.1,delta=1/1.75,d1=65,option="OBF")
#HCT trial design with three unequal spaced looks using Gamma spending function with parameter -1.
gg<-EffDesign(k=c(0.5,0.75,1),alpha=0.05,beta=0.1,delta=0.5,d1=23, option="Gamma", param=1)
```

---

EffIM	<i>Monitoring the trial at interim looks for a trial with efficacy monitoring only</i>
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**Description**

Calculates one-sided efficacy boundary values at the observed number of events.

**Usage**

```
EffIM(
  d2,
  dmax,
  last.look = FALSE,
  d1,
  etam,
  alpha,
  beta,
  opt = "OBF",
  param = 4
)
```

**Arguments**

d2	vector of number of events at which you want to monitor the trial.
dmax	maximum number of events in the experimental group calculated from design function.
last.look	logical which indicates whether the current look is the last look or not. Default is FALSE. If true, the post hoc power is calculated.
d1	total number of events in the historical control group.
etam	value of the drift parameter obtained from design function.
alpha	type I error.
beta	type II error.
opt	type of spending function: "OBF", "Gamma", "Rho" or "Pocock". Default is "OBF".
param	Parameter for "gamma family" or rho family. Default value is 4.

**Details**

The number of events have to be entered sequentially. See example.

**Value**

A list containing efficacy boundary values along with the p-values and transformed information time for the current look. Post-hoc power is also calculated in case of early stopping of the trial.

**Author(s)**

Tushar Patni, Yimei Li, Jianrong Wu, and Arzu Onar-Thomas.

**References**

Wu J, Xiong X (2016). "Survival trial design and monitoring using historical controls." *Pharmaceutical Statistics*, **15**(5), 405-411.

Wu J, Li Y (2020). "Group sequential design for historical control trials using error spending functions." *Journal of Biopharmaceutical Statistics*, **30**(2), 351-363.

**Examples**

```
#Interim look for the trial when the number of events is 13(first look).
gg<-EffIM(c(13),dmax=57,alpha=0.05,beta=0.1,etam=3.0726,d1=65,opt="OBF",last.look=FALSE)
#Interim look for the trial when the number of events is 35(second look).
gg<-EffIM(c(13,35),dmax=57,alpha=0.05,beta=0.1,etam=3.0726,d1=65,opt="OBF",last.look=FALSE)
```

---

FutDesign

*HCT design with interim monitoring for futility only*

---

**Description**

The group sequential design for historical controlled survival outcome trials with futility boundaries only.

**Usage**

```
FutDesign(k, alpha, beta, delta, d1, option = "OBF", param = 4)
```

**Arguments**

k	vector of time fraction for all planned looks: $k=c(1/3,2/3,1)$ if the three planned looks will be carried out at 1/3, 2/3 and all of the total events in the experiment arm.
alpha	type I error.
beta	type II error.
delta	hazard ratio: hazard of experiment group over hazard of control group.
d1	total number of events in the historical control group.
option	type of spending function: "OBF", "Gamma", "Rho" or "Pocock". Default is "OBF".
param	Parameter for Gamma family or Rho family. Default value is 4.

**Value**

List of dataframes and vectors containing the details about the following: design of the trial which includes the number of looks and events; details about futility and efficacy boundaries which include transformed information time at each look, cumulative beta and alpha respectively, p-values and crossing probabilities; etam(drift parameter); d2max(maximum number of events in the experimental group); delta\_used(hazard ratio used in the design).

**Author(s)**

Tushar Patni, Yimei Li, Jianrong Wu, and Arzu Onar-Thomas.

**References**

Wu J, Xiong X (2016). "Survival trial design and monitoring using historical controls." *Pharmaceutical Statistics*, **15**(5), 405-411.

Wu J, Li Y (2020). "Group sequential design for historical control trials using error spending functions." *Journal of Biopharmaceutical Statistics*, **30**(2), 351-363.

**Examples**

```
#Sequential trial for three equally spaced looks for OBF spending function.
gg<-FutDesign(k=c(0.33333,0.66667,1),alpha=0.05,beta=0.1,delta=1/1.75,d1=65,option="OBF")
```

---

FutIM

*Monitoring the trial at interim looks for a trial with futility monitoring only*

---

**Description**

Calculates one-sided futility boundary values at the observed number of events.

**Usage**

```
FutIM(
  d2,
  dmax,
  last.look = FALSE,
  d1,
  etam,
  alpha,
  beta,
  opt = "OBF",
  param = 4
)
```

**Arguments**

d2	vector of number of events at which you want to monitor the trial.
dmax	maximum number of events in the experimental group calculated from design function.
last.look	logical which indicates whether the current look is the last look or not. Default is FALSE.
d1	total number of events in the historical control group.
etam	value of the drift parameter obtained from design function.
alpha	type I error.
beta	type II error.
opt	type of spending function: "OBF", "Gamma", "Rho" or "Pocock". Default is "OBF".
param	Parameter for Gamma family or Rho family. Default value is 4.

**Details**

The number of events have to be entered sequentially. See example.

**Value**

A list containing futility boundary values along with the p-values and transformed information time for the current look. Post-hoc power is also calculated in case of early stopping of the trial.

**Author(s)**

Tushar Patni, Yimei Li, Jianrong Wu, and Arzu Onar-Thomas.

**References**

Wu J, Xiong X (2016). "Survival trial design and monitoring using historical controls." *Pharmaceutical Statistics*, **15**(5), 405-411.

Wu J, Li Y (2020). "Group sequential design for historical control trials using error spending functions." *Journal of Biopharmaceutical Statistics*, **30**(2), 351-363.

**Examples**

```
#Interim look for the trial when the number of events is 13(first look).
gg<-FutIM(c(13),dmax=57,alpha=0.05,beta=0.1,etam=3.0726,d1=65,opt="OBF",last.look=FALSE)
#Interim look for the trial when the number of events is 35(second look).
gg<-FutIM(c(13,35),dmax=57,alpha=0.05,beta=0.1,etam=3.0726,d1=65,opt="OBF",last.look=FALSE)
```

---

HCTSurvDesign

*HCT design with interim monitoring for both efficacy and futility*


---

### Description

The group sequential design for historical controlled survival outcome trials with both efficacy and futility boundaries.

### Usage

```
HCTSurvDesign(k, alpha, beta, delta, d1, option = "OBF", param = 4)
```

### Arguments

k	vector of time fraction for all planned looks: $k=c(1/3,2/3,1)$ if the three planned looks will be carried out at 1/3, 2/3 and all of the total events in the experiment arm.
alpha	type I error.
beta	type II error.
delta	hazard ratio: hazard of experiment group over hazard of control group.
d1	total number of events in the historical control group.
option	type of spending function: "OBF", "Gamma", "Rho" or "Pocock". Default is "OBF".
param	Parameter for Gamma family or Rho family. Default value is 4.

### Value

List of dataframes and vectors containing the details about the following: design of the trial which includes the number of looks and events; details about futility and efficacy boundaries which include transformed information time at each look, cumulative beta and alpha respectively, p-values and crossing probabilities; etam(drift parameter); d2max(maximum number of events in the experimental group); delta\_used(hazard ratio used in the design).

### Author(s)

Tushar Patni, Yimei Li, Jianrong Wu, and Arzu Onar-Thomas.

### References

Wu J, Xiong X (2016). "Survival trial design and monitoring using historical controls." *Pharmaceutical Statistics*, **15**(5), 405-411.

Wu J, Li Y (2020). "Group sequential design for historical control trials using error spending functions." *Journal of Biopharmaceutical Statistics*, **30**(2), 351-363.

**Examples**

```
#Sequential trial for three equally spaced looks for OBF spending function.
gg<-HCTSurvDesign(k=c(0.33333,0.66667,1),alpha=0.05,beta=0.1,delta=1/1.75,d1=65,option="OBF")
```

---

IM	<i>Monitoring the trial at interim looks for a trial with efficacy and futility boundaries</i>
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---

**Description**

Calculates one-sided boundary values at the observed number of events.

**Usage**

```
IM(d2, dmax, last.look = FALSE, d1, etam, alpha, beta, opt = "OBF", param = 4)
```

**Arguments**

d2	vector of number of events at which you want to monitor the trial.
dmax	maximum number of events in the experimental group calculated from design function.
last.look	logical which indicates whether the current look is the last look or not. Default is FALSE.
d1	total number of events in the historical control group.
etam	value of the drift parameter obtained from design function.
alpha	type I error.
beta	type II error.
opt	type of spending function: "OBF", "Gamma", "Rho" or "Pocock". Default is "OBF".
param	Parameter for Gamma family or Rho family. Default value is 4.

**Details**

The number of events have to be entered sequentially. See example.

**Value**

A list containing efficacy and futility boundary values along with the p-values and transformed information time for the current look. Post-hoc power is also calculated in case of early stopping of the trial.

**Author(s)**

Tushar Patni, Yimei Li, Jianrong Wu, and Arzu Onar-Thomas.



## References

Wu J, Xiong X (2016). "Survival trial design and monitoring using historical controls." *Pharmaceutical Statistics*, **15**(5), 405-411.

Wu J, Li Y (2020). "Group sequential design for historical control trials using error spending functions." *Journal of Biopharmaceutical Statistics*, **30**(2), 351-363.

## Examples

```
#Interim look for the trial when the number of events is 13(first look).
gg<-IM(c(13),dmax=57,alpha=0.05,beta=0.1,etam=3.0726,d1=65,opt="OBF",last.look=FALSE)
#Interim look for the trial when the number of events is 35(second look).
gg<-IM(c(13,35),dmax=57,alpha=0.05,beta=0.1,etam=3.0726,d1=65,opt="OBF",last.look=FALSE)
```

---

 SM

*Sample size in terms of number of subjects in the experimental group*

---

## Description

Calculates the total number of subjects for the experimental group using the total number of events(*d2max*:the output from design functions) and the estimated failure probability based on the person level historical control data and proportional hazard assumption.

## Usage

```
SM(time, event, d2max, opt = "KM", event_ind, ta, tf, delta)
```

## Arguments

<i>time</i>	event time vector from person level historical control data.
<i>event</i>	numeric vector indicating the status of event from person level historical control data.
<i>d2max</i>	maximum number of events in the experimental group calculated from the design function.
<i>opt</i>	the method of fitting survival curve-"log_normal" or "KM" (log-normal or Kaplan Meier). Default is "KM".
<i>event_ind</i>	numeric value indicating the occurrence of event.
<i>ta</i>	enrollment time.
<i>tf</i>	follow-up time.
<i>delta</i>	hazard ratio.

## Value

Returns the value of sample size.

**Author(s)**

Tushar Patni, Yimei Li, Jianrong Wu, and Arzu Onar-Thomas.

**References**

Wu J, Xiong X (2016). "Survival trial design and monitoring using historical controls." *Pharmaceutical Statistics*, **15**(5), 405-411.

Wu J, Li Y (2020). "Group sequential design for historical control trials using error spending functions." *Journal of Biopharmaceutical Statistics*, **30**(2), 351-363.

**Examples**

```
time<-c(20,65,12,50,58,65,45,44)
event<-c(1,0,0,0,1,1,1,1)
d2max=57
gg<-SM(time,event,d2max,opt="log_normal",ta=4,tf=3,delta=0.57,event_ind=1)
```

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