# Package 'deaR'

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**Type** Package

**Title** Conventional and Fuzzy Data Envelopment Analysis

**Version** 1.2.5

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**Description** Set of functions for Data Envelopment Analysis. It runs both classic and fuzzy DEA models.See: Banker, R.; Charnes, A.; Cooper, W.W. (1984). <doi:10.1287/mnsc.30.9.1078>, Charnes, A.; Cooper, W.W.; Rhode 2217(78)90138-8> and Charnes, A.; Cooper, W.W.; Rhodes, E. (1981). <doi:10.1287/mnsc.27.6.668>.

**License** GPL

**Encoding** UTF-8

**LazyData** true

**RoxygenNote** 7.1.2

**Depends** R (>= 3.5)

**Imports** lpSolve, ggplot2, tidyr, plotly, igraph, writexl, dplyr, methods, gridExtra

**NeedsCompilation** no

**Repository** CRAN

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## R topics documented:

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bootstrap_basic          *Bootstrapping DEA*

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### Description

To bootstrap efficiency scores, deaR uses the algorithm proposed by Simar and Wilson (1998). For now, the function bootstrap_basic can only be used with basic DEA models (input- and output-oriented under constant and variable returns-to-scale).

### Usage

```
bootstrap_basic(datadea,
                orientation = c("io", "oo"),
                rts = c("crs", "vrs", "nirs", "ndrs", "grs"),
                L = 1,
                U = 1,
                B = 2000,
                h = NULL,
                alpha = 0.05)
```

### Arguments

| | |
|---|---|
| datadea | The data, including n DMUs, m inputs and s outputs. |
| orientation | A string, equal to "io" (input oriented) or "oo" (output oriented). |
| rts | A string, determining the type of returns to scale, equal to "crs" (constant), "vrs" (variable), "nirs" (non-increasing), "ndrs" (non-decreasing) or "grs" (generalized). |
| L | Lower bound for the generalized returns to scale (grs). |
| U | Upper bound for the generalized returns to scale (grs). |
| B | Number of bootstrap iterations. |
| h | Bandwith or smoothing window. By default h=0.014 (You can set h to any other value). The optimal bandwidth factor can also be calculated following the proposals of Silverman (1986) and Dario y Simar (2007). So, h="h1" is the optimal h referred as "robust normal-reference rule" (Dario and Simar, 2007 |

p.60), h="h2" is the value of h1 but instead of the factor 1.06 with the factor 0.9, h="h3" is the value of h1 adjusted for scale and sample size (Dario and Simar, 2007 p.61), and h="h4" is the bandwith provided by a Gaussian kernel destiy estimate.

alpha          Between 0 and 1 (for confidence intervals).

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### References

Behr, A. (2015). Production and Efficiency Analysis with R. Springer.

Bogetoft, P.; Otto, L. (2010). Benchmarking with DEA, SFA, and R. Springer.

Daraio, C.; Simar, L. (2007). Advanced Robust and Nonparametric Methods in Efficiency Analysis: Methodology and Applications. New York: Springer.

Färe, R.; Grosskopf, S.; Kokkenlenberg, E. (1989). "Measuring Plant Capacity, Utilization and Technical Change: A Nonparametric Approach". International Economic Review, 30(3), 655-666.

Löthgren, M.; Tambour, M. (1999). "Bootstrapping the Data Envelopment Analysis Malmquist Productivity Index". Applied Economics, 31, 417-425.

Silverman, B.W. (1986). Density Estimation for Statistics and Data Analysis. London: Chapman and Hall.

Simar, L.; Wilson, P.W. (1998). "Sensitivity Analysis of Efficiency Scores: How to Bootstrap in Nonparametric Frontier Models". Management Science, 44(1), 49-61.

Simar, L.; Wilson, P.W. (1999). "Estimating and Bootstrapping Malmquist Indices". European Journal of Operational Research, 115, 459-471.

Simar, L.; Wilson, P.W. (2008). Statistical Inference in Nonparametric Frontier Models: Recent Developments and Perspective. In H.O. Fried; C.A. Knox Lovell and S.S. Schmidt (eds.) The Measurement of Productive Efficiency and Productivity Growth. New York: Oxford University Press. doi: 10.1093/acprof:oso/9780195183528.001.0001

### Examples

```
# To replicate the results in Simar y Wilson (1998, p. 58) you have to
# set B=2000 (in the example B = 100 to save time)
data("Electric_plants")
data_example <- read_data(Electric_plants,
                          ni = 3,
                          no = 1)
result <- bootstrap_basic(datadea = data_example,
                          orientation = "io",
                          rts = "vrs",
```

```
                              B = 100)
   result$score_bc
   result$CI
```

---

| Coelli_1998 | *Data: Coelli, Rao and Battese (1998).* |
|---|---|

---

### Description

Data of five DMUs with two inputs and one output. Prices for inputs are available. Price for output is not from Coelli et al. (1998).

### Usage

```
data("Coelli_1998")
```

### Format

Data frame with 6 rows and 5 columns. Definition of inputs (X) and outputs (Y):

**Input1** Input 1

**Input2** Input 2

**Output** Output

**Price_input1** Price input 1

**Price_input2** Price input 2

**Price_output** Price output

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### Source

Coelli, T.; Prasada Rao, D.S.; Battese, G.E. An introduction to efficiency and productivity analysis. Boston: Kluwer Academic Publishers.

### See Also

[read_data](read_data)

## Examples

```
# Example. Replication of results in Coelli et al. (1998, p.166).
# Cost efficiency model.
data("Coelli_1994")
# Selection of prices: data_prices is the trasnpose where the prices for inputs are.
data_prices <- t(Coelli_1998[,5:6])

data_example <- read_data(Coelli_1998,
                          dmus=1,
                          ni=2,
                          no=1)
result <- model_profit(data_example,
                       price_input=data_prices,
                       rts="crs",
                       restricted_optimal=FALSE)
# notice that the option by default is restricted_optimal=TRUE
efficiencies(result)
```

---

Coll_Blasco_2006          *Data: Coll and Blasco (2006).*

---

## Description

Data of six authorized dealers with two inputs and two outputs.

## Usage

```
data("Coll_Blasco_2006")
```

## Format

Data frame with 6 rows and 5 columns. Definition of inputs (X) and outputs (Y):

**x1 = Employees**  Number of employees

**x2 = Capital**  Impairment of assets

**y1 = Vehicles**  Number of vehicles sold

**y2 = Orders**  Number of orders received at the garage

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## Source

Coll-Serrano, V.; Blasco-Blasco, O. (2006). Evaluacion de la Eficiencia mediante el Análisis Envolvente de Datos. Introduccion a los Modelos Básicos.

## See Also

[read_data](read_data)

## Examples

```
# Example. How to read data with deaR
data("Coll_Blasco_2006")
data_example <- read_data(Coll_Blasco_2006,
                          dmus=1,
                          ni=2,
                          no=2)
```

---

cross_efficiency           *Cross efficiency tables*

---

## Description

Computes arbitrary, benevolent and aggressive formulation of cross-efficiency under constant and variable returns-to-scale. Doyle and Green (1994) present three alternatives ways of formulating the secondary goal (wich will minimize or maximize the other DMUs' cross-efficiencies in some way). Methods II and III are implemented in deaR with constant returns-to-scale. The maverick index is also calculated.

## Usage

```
cross_efficiency(datadea,
                 dmu_eval = NULL,
                 dmu_ref = NULL,
                 epsilon = 0,
                 orientation = c("io", "oo"),
                 rts = c("crs", "vrs", "nirs", "ndrs", "grs"),
                 L = 1,
                 U = 1,
                 selfapp = TRUE,
                 correction = FALSE,
                 M2 = TRUE,
                 M3 = TRUE)
```

## Arguments

| | |
|---|---|
| `datadea` | An object of class `dea` or `deadata`. If it is of class `dea` it must have been obtained with some of the multiplier DEA models. |
| `dmu_eval` | A numeric vector. Only the multipliers of DMUs in `dmu_eval` are computed. If `NULL` (default), all DMUs are considered. |
| `dmu_ref` | A numeric vector containing which DMUs are the evaluation reference set. If `NULL` (default), all DMUs are considered. |
| `epsilon` | Numeric, multipliers must be `>= epsilon`. |
| `orientation` | A string, equal to "io" (input-oriented) or "oo" (output-oriented). |
| `rts` | A string, determining the type of returns to scale, equal to "crs" (constant), "vrs" (variable), "nirs" (non-increasing), "ndrs" (non-decreasing) or "grs" (generalized). |
| `L` | Lower bound for the generalized returns to scale (grs). |
| `U` | Upper bound for the generalized returns to scale (grs). |
| `selfapp` | Logical. If it is `TRUE`, self-appraisal is included in the average scores of `A` and `e`. |
| `correction` | Logical. If it is `TRUE`, a correction is applied in the "vrs" input-oriented model in order to avoid negative cross-efficiencies, according to Lim & Zhu (2015). |
| `M2` | Logical. If it is `TRUE`, it computes Method II for aggresive/benevolent estimations. |
| `M3` | Logical. If it is `TRUE`, it computes Method III for aggresive/benevolent estimations. |

## Note

(1) We can obtain negative cross-efficiency in the input-oriented DEA model under no constant returns-to-scale. However, the same does not happen in the case of the output-oriented VRS DEA model. For this reason, the proposal of Lim and Zhu (2015) is implemented in deaR to calculate the input-oriented cross-efficiency model under no constant returns-to-scale.

(2) The multiplier model can have alternate optimal solutions (see note 1 in model_multiplier). So, depending on the optimal weights selected we can obtain different cross-efficiency scores.

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## References

Sexton, T.R., Silkman, R.H.; Hogan, A.J. (1986). Data envelopment analysis: critique and extensions. In: Silkman RH (ed) Measuring efficiency: an assessment of data envelopment analysis, vol 32. Jossey-Bass, San Francisco, pp 73–104. doi: 10.1002/ev.1441

Doyle, J.; Green, R. (1994). "Efficiency and cross efficiency in DEA: derivations, meanings and the uses", Journal of Operational Research Society, 45(5), 567–578. DOI: 10.2307/2584392

Cook, W.D.; Zhu, J. (2015). DEA Cross Efficiency. In: Zhu, J. (ed) Data Envelopment Analysis. A Handbook of Models and Methods. International Series in Operations Research & Management Science, vol 221. Springer, Boston, MA, 23-43 doi: 10.1007/9781489975539_2

Lim, S.; Zhu, J. (2015). "DEA Cross-Efficiency Under Variable Returns to Scale". Journal of Operational Research Society, 66(3), p. 476-487. doi: 10.1057/jors.2014.13

## See Also

model_multiplier, cross_efficiency_fuzzy

## Examples

```
# Example 1.
# Arbitrary formulation. Input-oriented model under constant returns-to-scale.
data("Golany_Roll_1989")
data_example <- read_data(datadea = Golany_Roll_1989,
                          inputs = 2:4,
                          outputs = 5:6)
result <- cross_efficiency(data_example,
                           orientation = "io",
                           rts = "crs",
                           selfapp = TRUE)
result$Arbitrary$cross_eff
result$Arbitrary$e

# Example 2.
# Benevolent formulation (method II). Input-oriented.
data("Golany_Roll_1989")
data_example <- read_data(datadea = Golany_Roll_1989,
                          inputs = 2:4,
                          outputs = 5:6)
result <- cross_efficiency(data_example,
                           orientation = "io",
                           selfapp = TRUE)
result$M2_ben$cross_eff
result$M2_ben$e

# Example 3.
# Benevolent formulation (method III). Input-oriented.
data("Golany_Roll_1989")
data_example <- read_data(datadea = Golany_Roll_1989,
                          inputs = 2:4,
                          outputs = 5:6)
result <- cross_efficiency(data_example,
                           orientation = "io",
                           selfapp = TRUE)
result$M3_ben$cross_eff
result$M3_ben$e
```

```
# Example 4.
# Arbitrary formulation. Output-oriented.
data("Golany_Roll_1989")
data_example <- read_data(datadea = Golany_Roll_1989,
                          inputs = 2:4,
                          outputs = 5:6)
result <- cross_efficiency(data_example,
                           orientation = "oo",
                           selfapp = TRUE)
result$Arbitrary$cross_eff
result$Arbitrary$e

# Example 5.
# Arbitrary formulation. Input-oriented model under vrs returns-to-scale.
data("Lim_Zhu_2015")
data_example <- read_data(Lim_Zhu_2015,
                          ni = 1,
                          no = 5)
cross <- cross_efficiency(data_example,
                          epsilon = 0,
                          orientation = "io",
                          rts = "vrs",
                          selfapp = TRUE,
                          M2 = FALSE,
                          M3 = FALSE)
cross$Arbitrary$e
```

---

cross_efficiency_fuzzy

*Cross efficiency fuzzy tables*

---

### Description

Computes the cross-efficiency fuzzy table from dea data or a Guo-Tanaka dea model solution. The (crisp) relative efficiencies for the case h = 1 are obtained from the CCR model (`model_multiplier`).

### Usage

```
cross_efficiency_fuzzy(datadea,
                       orientation = c("io", "oo"),
                       h = 1,
                       selfapp = TRUE)
```

### Arguments

| | |
|---|---|
| datadea | An object of class dea_fuzzy or deadata_fuzzy. If it is of class dea_fuzzy it must have been obtained with modelfuzzy_guotanaka. |
| orientation | A string, equal to "io" (input-oriented) or "oo" (output-oriented). |

| | |
|---|---|
| h | A numeric vector with the h-levels (in [0,1]). |
| selfapp | Logical. If it is TRUE, self-appraisal is included in the average scores of A and e. |

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### References

Doyle, J.; Green, R. (1994). "Efficiency and Cross Efficiency in DEA: Derivations, Meanings and the Uses", Journal of Operational Research Society, 45(5), 567–578. doi: 10.2307/2584392

Guo, P.; Tanaka, H. (2001). "Fuzzy DEA: A Perceptual Evaluation Method", Fuzzy Sets and Systems, 119, 149–160. doi: 10.1016/S01650114(99)001062

León, T.; Liern, V.; Ruiz, J.L.; Sirvent, I. (2003). "A Fuzzy Mathematical Programming Approach to the assessment of efficiency with DEA Models", Fuzzy Sets Systems, 139(2), 407–419. doi: 10.1016/S01650114(02)006085

Sexton, T.R., Silkman, R.H.; Hogan, A.J. (1986). Data envelopment analysis: critique and extensions. In: Silkman RH (ed) Measuring efficiency: an assessment of data envelopment analysis, vol 32. Jossey-Bass, San Francisco, pp 73–104. doi: 10.1002/ev.1441

### Examples

```
data("Guo_Tanaka_2001")
datadea <- read_data_fuzzy(datadea = Guo_Tanaka_2001,
                           inputs.mL = 2:3,
                           inputs.dL = 4:5,
                           outputs.mL = 6:7,
                           outputs.dL = 8:9)
result <- cross_efficiency_fuzzy(datadea = datadea,
                                 h = seq(0, 1, 0.2))
```

---

| Departments | *Data: Tomkins and Green (1988).* |
|---|---|

---

### Description

Data from 20 University accounting departments in the UK.

### Usage

```
data("Departments")
```

## Format

Data frame with 20 rows and 11 columns. Definition of inputs (X) and outputs (Y):

**x1 = Staff**  Average Full Time Academic Staff 82/3-84/5)

**x2 = Salaries**  1984-5 Salaries Academics and Related (in pounds))

**x3 = Other_Exp**  1984-5 Other Expenses (in pounds)

**y1 = Undergrad**  Average Number Undergraduates 82/3-84/5

**y2 = Research_post**  Research Postgraduates

**y3 = Taught_post**  Taught Postgraduates

**y4 = Res_co_income**  Research council income (in pounds)

**y5 = Other_res_income**  Other research income (in pounds)

**y6 = Other_income**  Other income (in pounds)

**y7 = Publications**  Number of publications

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## Source

Tomkins, C.; Green, R. (1988). "An Experiment in the Use of Data Envelopment Analysis for Evaluating the Efficiency of UK University Departments of Accounting", Financial Accountability and Management, 4(2), 147-164. doi: 10.1111/j.1468-0408.1988.tb00296.x

## See Also

[read_data](), [model_basic]()

## Examples

```
# Example.
# Replication of results DEA1 in Tomkins and Green (1988)
data("Departments")
# Calculate Total income
Departments$Total_income <- Departments[,5]+Departments[,6]+Departments[,7]
data_example <- read_data(Departments,
                          inputs=9,
                          outputs=c(2,3,4,12))
result <- model_basic(data_example,
                      orientation="io",
                      rts="crs")
efficiencies(result) # Table 3 (p.156)
references(result) # Table 3 (p.157)
```

---

| Doyle_Green_1994 | *Data: Doyle and Green (1994).* |
| --- | --- |

---

#### Description

Data adapted from Tomkins and Green (1988). 13 DMUs using 3 inputs to produce 2 outputs.

#### Usage

```
data("Doyle_Green_1994")
```

#### Format

Data frame with 13 rows and 6 columns. Definition of inputs (X) and outputs (Y):

**y1 = Undergraduate** Number of undergraduates

**y2 = Postgraduates** Number of postgraduates (taught and research)

**y3 = Research_income** Research and other income

**y4 = Publications** Number of publications

**x1 = Salaries** Salaries of academic and related staff

**x2 = Other_expenses** Other expenses

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#### Source

Doyle, J.; Green, R. (1994). "Efficiency and cross efficiency in DEA: derivations, meanings and the uses", Journal of Operational Research Society, 45(5), 567–578. doi: 10.2307/2584392

#### See Also

read_data, model_multiplier, cross_efficiency

## Examples

```
# Example.
data("Doyle_Green_1994")
data_example <- read_data(datadea = Doyle_Green_1994,
                          dmus = 1,
                          inputs = 6:7,
                          outputs = 2:5)
result <- cross_efficiency(data_example,
                           orientation = "io",
                           selfapp = TRUE)
result$Arbitrary$cross_eff
result$Arbitrary$e
# Aggressive using method II
result$M2_agg$cross_eff
# Aggressive using method III
result$M3_agg$cross_eff
```

---

Economy                              *Data: Wang and Lan (2011).*

---

## Description

Data of the industrial economy of China in 2005-2009 (data in wide format).

## Usage

```
data("Economy")
```

## Format

Data frame with 31 rows and 16 columns. Definition of inputs (X) and outputs (Y):

**x1 = Capital** Total assets (in 100 million RMB)

**x2 = Labor** Annual average employed persons (in 10000 persons)

**y1 = GIOV** Gross industrial output value (in 100 million RMB)

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## Source

Wang, Y.; Lan, Y. (2011). "Measuring Malmquist Productiviy Index: A New Approach Based on Double Frontiers Data Envelopment Analysis". Mathematical and Computer Modelling, 54, 2760-2771. doi: 10.1016/j.mcm.2011.06.064

## See Also

read_malmquist, malmquist_index

## Examples

```
# Example . Data in wide format.
# Replication of results in Wang and Lan (2011, p. 2768)
data("Economy")
data_example <- read_malmquist(Economy,
                               nper=5,
                               arrangement="horizontal",
                               ni = 2,
                               no = 1)
result <- malmquist_index(data_example)
```

---

EconomyLong                *Data: Wang and Lan (2011).*

---

## Description

Data of the industrial economy of China in 2005-2009 (data in long format).

## Usage

```
data("EconomyLong")
```

## Format

Data frame with 155 rows and 5 columns. Definition of inputs (X) and outputs (Y):

**x1 = Capital**  Total assets (in 100 million RMB)

**x2 = Labor**  Annual average employed persons (in 10000 persons)

**y1 = GIOV**  Gross industrial output value (in 100 million RMB)

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## Source

Wang, Y.; Lan, Y. (2011). "Measuring Malmquist Productiviy Index: A New Approach Based on Double Frontiers Data Envelopment Analysis". Mathematical and Computer Modelling, 54, 2760-2771. doi: 10.1016/j.mcm.2011.06.064

## See Also

[read_malmquist](#), [malmquist_index](#)

## Examples

```
# Example. Data in long format.
# Replication of results in Wang and Lan (2011, p. 2768)
data("EconomyLong")
data_example <- read_malmquist(EconomyLong,
                               percol=2,
                               arrangement="vertical",
                               ni = 2,
                               no = 1)
result <- malmquist_index(data_example)
```

---

efficiencies                    *Efficiencies*

---

## Description

Extracts efficiencies from dea/dea_fuzzy objects.

## Usage

```
efficiencies(x, ...)
```

## Arguments

x                   dea / deafuzzy object

...                 ignored

---

| efficiencies.dea | *Efficiencies* |
|---|---|

---

### Description

Extract the efficiencies of the DMUs from a dea solution.

### Usage

```
## S3 method for class 'dea'
efficiencies(x, ...)
```

### Arguments

| | |
|---|---|
| x | Object of class dea or dea_fuzzy obtained with some of the dea model functions. |
| ... | Other options (for compatibility reasons) |

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### Examples

```
# Replication results model DEA1 in Tomkins and Green (1988)
data("Departments")
# Calculate Total income
Departments$Total_income <- Departments[, 5] + Departments[, 6] + Departments[, 7]
data_DEA1 <- read_data(Departments,
                       inputs = 9,
                       outputs = c(2, 3, 4, 12))
result <- model_basic(data_DEA1,
                       orientation = "io",
                       rts = "crs")
efficiencies(result) # Table 3 (p.156)
```

---

```
efficiencies.dea_fuzzy
```
*Efficiencies*

---

### Description

Extract the efficiencies of the DMUs from a dea_fuzzy solution.

### Usage

```
## S3 method for class 'dea_fuzzy'
efficiencies(x, ...)
```

### Arguments

| | |
|---|---|
| x | Object of class dea or dea_fuzzy obtained with some of the dea model functions. |
| ... | Other options (for compatibiliy) |

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### Examples

```
# Replication results model DEA1 in Tomkins and Green (1988)
data("Departments")
# Calculate Total income
Departments$Total_income <- Departments[, 5] + Departments[, 6] + Departments[, 7]
data_DEA1 <- read_data(Departments,
                       inputs = 9,
                       outputs = c(2, 3, 4, 12))
result <- model_basic(data_DEA1,
                      orientation = "io",
                      rts = "crs")
efficiencies(result) # Table 3 (p.156)
```

---

| Electric_plants | *Data: Färe, Grosskopf and Kokkelenberg (1989).* |
| --- | --- |

---

### Description

Data of 19 coal-fired steam-electric generating plants operating in Illinois in 1978. Each plant uses 3 inputs to produce 1 output.

### Usage

```
data("Electric_plants")
```

### Format

Data frame with 18 rows and 5 columns. Definition of inputs (X) and outputs (Y):

**x1 = Labor**  Labor average annual employment

**x2 = Fuel**  Fuel $10^{10}$ Btu

**x3 = Capital**  Capital MW (fixed input)

**y1 = Output**  Output $10^6$ Kwh

### Author(s)

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University of Valencia (Spain)

### Source

Färe, R.; Grosskopf, S.; Kokkenlenberg, E. (1989). "Measuring Plant Capacity, Utilization and Technical Change: A Nonparametric Approach". International Economic Review, 30(3), 655-666.

Simar, L.; Wilson, P.W. (1998). "Sensitivity Analysis of Efficiency Scores: How to Bootstrap in Nonparametric Frontier Models". Management Science, 44(1), 49-61.

### See Also

read_data, model_basic

## Examples

```
# Example. Replication of results in Simar and Wilson (1998, p.59)
data("Electric_plants")
data_example <- read_data(Electric_plants,
                          dmus = 1,
                          ni=3,
                          no=1)
result <- model_basic(data_example,
                      orientation="io",
                      rts="vrs")
efficiencies(result)
```

---

Fortune500          *Data: Zhu (2014).*

---

## Description

This dataset consists of 15 firms from the Fortune 500 list 1995 (<https://fortune.com/fortune500/>) with 3 inputs and 2 outputs.

## Usage

```
data("Fortune500")
```

## Format

Data frame with 15 rows and 6 columns. Definition of inputs X) and outputs (Y):

**x1 = Assets** Assets (millions of dollars)

**x2 = Equity** Equity (millions of dollars)

**x3 = Employees** Number of employees

**y1 = Revenue** Revenue (millions of dollars)

**y2 = Profit** Profit (millions of dollars)

## Author(s)

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## Source

Zhu, J. (2014). Quantitative Models for Performance Evaluation and Benchmarking. Data Envelopment Analysis with Spreadsheets. 3rd Edition Springer, New York. doi: 10.1007/978-3-319-06647-9

### See Also

[read_data](#), [model_multiplier](#)

### Examples

```
data("Fortune500")
data_Fortune <- read_data(datadea = Fortune500,
                          dmus = 1,
                          inputs = 2:4,
                          outputs = 5:6)
result <- model_multiplier(data_Fortune,
                           epsilon=0.000001,
                           orientation="io",
                           rts="crs")
# results for General Motors and Ford Motor are not shown
# by deaR because the solution is infeasible
efficiencies(result)
multipliers(result)
```

---

Fried1993                  *Data: Fried, Knox Lovell and Schmidt (1993).*

---

### Description

Data of 11 DMUs with two inputs and one output.

### Usage

```
data("Fried1993")
```

### Format

Data frame with 11 rows and 4 columns. Definition of inputs (X) and outputs (Y):

**x1** Input 1

**x2** Input 2

**y1** Output 1

### Author(s)

**Vicente Coll-Serrano** (<vicente.coll@uv.es>). *Quantitative Methods for Measuring Culture (MC2). Applied Economics.*

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**Rafael Benitez** (<rafael.suarez@uv.es>). *Department of Business Mathematics*

University of Valencia (Spain)

## Source

Ali, A.I.; Seiford, L.M. (1993). The Mathematical Programming Approach to Efficiency Analysis. In Fried, H.O.; Knox Lovell, C.A.; Schmidt, S.S.(eds.), The Measurement of Productive Efficiency. Techniques and Applications. New York: Oxford University Press.

## See Also

[read_data](read_data), [model_basic](model_basic)

## Examples

```
# Example. Replication of results in Ali and (1993, p.143).
data("Fried1993")
data_example <- read_data(Fried1993,
                          ni=2,
                          no=1)
result <- model_basic(data_example,
                      orientation="oo",
                      rts="vrs")
efficiencies(result)
targets(result)
```

---

Golany_Roll_1989            *Data: Golany and Roll (1989).*

---

## Description

Data of 13 DMUs using 3 inputs to produce 2 outputs.

## Usage

```
data("Golany_Roll_1989")
```

## Format

Data frame with 13 rows and 6 columns. Definition of inputs (X) and outputs (Y):

**x1** Input 1

**x2** Input 2

**x3** Input 3

**y1** Output 1

**y1** Output 2

## Author(s)

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## Source

Golany, B.; Roll, Y. (1989). "An Application Procedure for DEA". OMEGA, International Journal of Management Science, 17(3), 237-250. doi: 10.1016/0305-0483(89)90029-7

## See Also

[read_data](), [model_multiplier](), [cross_efficiency]()

## Examples

```
# Example.
data("Golany_Roll_1989")
data_example <- read_data(datadea = Golany_Roll_1989,
                          dmus = 1,
                          inputs = 2:4,
                          outputs = 5:6)
result <- cross_efficiency(data_example,
                           orientation = "io",
                           selfapp = TRUE)
result$Arbitrary$cross_eff
result$Arbitrary$e
```

---

Grifell_Lovell_1999      *Data: Grifell-Tatjé and Lovell (1999).*

---

## Description

Data of 8 DMUs producing 1 output (Y) by using 1 input (X) for two periods of time.

## Usage

```
data("Grifell_Lovell_1999")
```

## Format

Data frame with 16 rows and 4 columns. Definition of inputs (X) and outputs (Y):

**X** Input

**Y** Output

**Author(s)**

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**Source**

Grifell-Tatjé, E.; Lovel, C.A.K. (1999). "A Generalized Malmquist productivity index". Top, 7(1), 81-101.

**See Also**

[read_malmquist](), [malmquist_index]()

**Examples**

```
# Example. Replication of results in Grifell-Tatjé and Lovell (1999, p. 100).
data("Grifell_Lovell_1999")
data_example <- read_malmquist(Grifell_Lovell_1999,
                               percol=1,
                               dmus = 2,
                               inputs = 3,
                               outputs = 4,
                               arrangement="vertical")

result_fgnz <- malmquist_index(data_example,
                               orientation= "oo",
                               rts="vrs",
                               type1 = "cont",
                               type2 = "fgnz")

result_fgnz$mi
```

---

Guo_Tanaka_2001                *Data: Guo and Tanaka (2001).*

---

**Description**

Data of 5 DMUs with two symmetric triangular fuzzy inputs, Xj=(xj,alphaj), and two symmetric triangular fuzzy outputs, Yj=(yj,betaj).

## Usage

```
data("Guo_Tanaka_2001")
```

## Format

Data frame with 5 rows and 9 columns. Definition of fuzzy inputs (X) and fuzzy outputs (Y):

**x1** Input 1

**x2** Input 2

**alpha1** spread vector Input 1

**alpha2** spread vector Input 2

**y1** Output 1

**y2** Output 2

**beta1** spread vector Output 1

**beta2** spread vector Output 2

## Author(s)

**Vicente Coll-Serrano** (<vicente.coll@uv.es>). *Quantitative Methods for Measuring Culture (MC2). Applied Economics.*

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## Source

Guo, P.; Tanaka, H. (2001). "Fuzzy DEA: A Perceptual Evaluation Method", Fuzzy Sets and Systems, 119, 149–160. doi: 10.1016/S0165-0114(99)00106-2

## See Also

[read_data_fuzzy](read_data_fuzzy), [modelfuzzy_guotanaka](modelfuzzy_guotanaka), [cross_efficiency_fuzzy](cross_efficiency_fuzzy)

## Examples

```
data("Guo_Tanaka_2001")
data_example <- read_data_fuzzy(Guo_Tanaka_2001,
                                dmus=1,
                                inputs.mL=2:3,
                                inputs.dL=4:5,
                                outputs.mL=6:7,
                                outputs.dL=8:9)
result <- modelfuzzy_guotanaka(data_example,
                               h = seq(0,1,by=0.1),
                               orientation="io")
efficiencies(result)
```

| Hotels | *Data: Wu, Tsai and Zhou (2011).* |
|---|---|

#### Description

This dataset consists of 23 four- and five-plum ITHs in Taipei in 2006. Authors consider 4 inputs and 3 outputs.

#### Usage

```
data("Hotels")
```

#### Format

Data frame with 23 rows and 8 columns. Definition of inputs (X) and outputs (Y):

**x1 = Employees**  Total number of employees)

**x2 = Guest_rooms**  Total number of guest rooms)

**x3 = Area_F&B**  Total area of F&B departments (in 36 square-feet)

**x4 = Operating_cost**  Total operating cost (in NT$)

**y1 = Room_revenue**  Room revenues (in NT$)

**y2 = F&B_revenue**  F&B revenues (in NT$)

**y3 = Other_revenue**  Other revenues (in NT$)

#### Author(s)

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#### Source

Wu, J.; Tsai, H. and Zhou, Z. (2011). "Improving efficiency in International tourist hotels in Taipei using a non-radial DEA mode", Internationl Journal of Contemporary Hospitality Management, 23(1), 66-83. doi: 10.1108/09596111111101670

#### See Also

read_data, model_nonradial

## Examples

```
# Example. Replication of results in Wu,Tsai and Zhou (2011)
data("Hotels")
data_hotels <- read_data(Hotels,
                         dmus = 1,
                         inputs = 2:5,
                         outputs = 6:8)
result <- model_nonradial(data_hotels,
                          orientation="oo",
                          rts="vrs")
efficiencies(result)
```

---

Hua_Bian_2007              *Data: Hua and Bian (2007).*

---

## Description

Data of 30 DMUs with two desirable inputs, two desirable outputs and one udesirable output.

## Usage

```
data("Hua_Bian_2007")
```

## Format

Data frame with 30 rows and 6 columns. Definition of inputs (X) and outputs (Y):

**x1 = D-Input1** Desirable Input 1

**x2 = D-Input2** Desirable Input 2

**y1 = D-Output1** Desirable Output 1

**y2 = D-Output2** Desirable Output 2

**y3 = UD-Output1** Undesirable Output 1

## Author(s)

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## Source

Hua Z.; Bian Y. (2007). DEA with Undesirable Factors. In: Zhu J., Cook W.D. (eds) Modeling Data Irregularities and Structural Complexities in Data Envelopment Analysis. Springer, Boston, MA. doi: 10.1007/978-0-387-71607-7_6

## See Also

[read_data](), [model_basic]()

## Examples

```
# Example. Replication of results in Hua and Bian (2007).
data("Hua_Bian_2007")
# The third output is an undesirable output
data_example <- read_data(Hua_Bian_2007,
                          ni=2,
                          no=3,
                          ud_outputs=3)

# Translation parameter (vtrans_o) is set to 1500
result <- model_basic(data_example,
                      orientation="oo",
                      rts="vrs",
                      vtrans_o=1500)
eff <- efficiencies(result)
1/eff # results M5 in Table 6-5 (p.119)
```

---

is.dea                          *dea class check.*

---

## Description

Checks whether an R object is of dea class or not.

## Usage

```
is.dea(x)
```

## Arguments

x                Any **R** object.

## Value

Returns TRUE if its argument is a dea object (that is, has "dea" amongst its classes) and FALSE otherwise.

## Author(s)

**Vicente Coll-Serrano** (<vicente.coll@uv.es>). *Quantitative Methods for Measuring Culture (MC2). Applied Economics.*

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University of Valencia (Spain)

---

is.deadata                     *deadata class check.*

---

### Description

Checks whether an R object is of deadata class or not.

### Usage

```
is.deadata(x)
```

### Arguments

x                   Any **R** object.

### Value

Returns TRUE if its argument is a deadata object (that is, has "deadata" amongst its classes) and FALSE otherwise.

### Author(s)

**Vicente Coll-Serrano** (<vicente.coll@uv.es>). *Quantitative Methods for Measuring Culture (MC2). Applied Economics.*

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---

is.deadata_fuzzy         *deadata_fuzzy class check.*

---

### Description

Checks whether an R object is of deadata_fuzzy class or not.

### Usage

```
is.deadata_fuzzy(x)
```

### Arguments

x                   Any **R** object.

## Value

Returns TRUE if its argument is a deadata_fuzzy object (that is, has "deadata_fuzzy" amongst its classes) and FALSE otherwise.

## Author(s)

**Vicente Coll-Serrano** (<vicente.coll@uv.es>). *Quantitative Methods for Measuring Culture (MC2). Applied Economics.*

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---

| is.dea_fuzzy | *dea_fuzzy class check.* |
|---|---|

---

## Description

Checks whether an R object is of dea_fuzzy class or not.

## Usage

```
is.dea_fuzzy(x)
```

## Arguments

x                Any **R** object.

## Value

Returns TRUE if its argument is a dea_fuzzy object (that is, has "dea_fuzzy" amongst its classes) and FALSE otherwise.

## Author(s)

**Vicente Coll-Serrano** (<vicente.coll@uv.es>). *Quantitative Methods for Measuring Culture (MC2). Applied Economics.*

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---

is.friends                          *Friends check.*

---

### Description

Checks whether a subset of DMUs is friends or not, according to Tone (2010).

### Usage

```
is.friends(datadea,
           dmu_eval = NULL,
           dmu_ref = NULL,
           rts = c("crs", "vrs", "nirs", "ndrs"),
           tol = 1e-6)
```

### Arguments

| | |
|---|---|
| datadea | The data, including n DMUs, m inputs and s outputs. |
| dmu_eval | A numeric vector containing the subset of DMUs to be checked. If NULL (default), all DMUs are considered. |
| dmu_ref | A numeric vector containing which DMUs are the evaluation reference set. If NULL (default), all DMUs are considered. |
| rts | A string, determining the type of returns to scale, equal to "crs" (constant), "vrs" (variable), "nirs" (non-increasing) or "ndrs" (non-decreasing). |
| tol | Numeric, a tolerance margin for checking efficiency. It is 1e-6 by default. |

### Value

Returns TRUE if dmu_eval is friends of dmu_ref, and FALSE otherwise.

### Author(s)

**Vicente Coll-Serrano** (<vicente.coll@uv.es>). *Quantitative Methods for Measuring Culture (MC2). Applied Economics.*

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### References

Tone, K. (2010). "Variations on the theme of slacks-based measure of efficiency in DEA", European Journal of Operational Research, 200, 901-907. doi: 10.1016/j.ejor.2009.01.027

### See Also

maximal_friends, model_sbmeff

**Examples**

```
data("PFT1981")
datadea <- read_data(PFT1981, ni = 5, no = 3)
subset1 <- c(15, 16, 17, 19) # Subset of DMUs to be checked
result1 <- is.friends(datadea = datadea,
                      dmu_eval = subset1,
                dmu_ref = 1:20) # We only consider a cluster formed by the first 20 DMUs
subset2 <- c(15, 16, 17, 20) # Another subset of DMUs to be checked
result2 <- is.friends(datadea = datadea,
                      dmu_eval = subset2,
                dmu_ref = 1:20) # We only consider a cluster formed by the first 20 DMUs
```

---

Kao_Liu_2003                         *Data: Kao and Liu (2003).*

---

**Description**

Data of 24 university libraries in Taiwan with one input and five outputs.

**Usage**

```
data("Kao_Liu_2003")
```

**Format**

Data frame with 24 rows and 11 columns. Definition of fuzzy inputs (X) and fuzzy outputs (Y):

**x1 = Patronage**  It is a weighted sum of the standardized scores of faculty, graduate students, undergraduate students, and extension students in the range of 0 and 1.

**y1 = Collections**  Books, serials, microforms, audiovisual works, and database.

**y2 = Personnel**  Classified staff, unclassified staff, and student assistants.

**y3 = Expenditures**  Capital expenditure, operating expenditure, and special expenditure.

**y4 = Buildings**  Area and seats

**y5 = Services**  Operating hours, attendance, circulation, communication channels, range of services, amount of services, etc.

**beta3_l**  lower spread vector Expenditures

**beta3_u**  upper spread vector Expenditures

**beta5_l**  lower spread vector Services

**beta5_u**  upper spread vector Services

**Note**

There are three observations that are missing: expenditures of Library 24 and services of Library 22 and Library 23. Kao and Liu (2000b) represent the expenditures of Library 24 by the triangular fuzzy number Y=(0.11; 0.41; 1.0). The services of Library 22 and Library 23 are expressed by a same triangular fuzzy number Y=(0.41; 0.69; 1.0).

### Author(s)

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### Source

Kao, C., Liu, S.T. (2003). "A mathematical programming approach to fuzzy efficiency ranking", International Journal of Production Economics, 85, doi: 10.1016/S0925-5273(03)00026-4

### See Also

[read_data_fuzzy](#), [model_basic](#)

### Examples

```
# Example. Replication of results in Kao and Liu (2003, p.152)
data_example <- read_data_fuzzy(Kao_Liu_2003,
                                dmus=1,
                                inputs.mL= 2,
                                outputs.mL= 3:7,
                                outputs.dL=c(NA,NA,8,NA,10),
                                outputs.dR=c(NA,NA,9,NA,11))
result <- modelfuzzy_kaoliu(data_example,
                            kaoliu_modelname = "basic",
                            orientation="oo",
                            rts="vrs",
                            alpha=0)
eff <- efficiencies(result)
eff
```

---

lambdas                          *Lambdas*

---

### Description

Extract the lambdas of the DMUs from a dea or dea_fuzzy solution.

### Usage

```
lambdas(deasol)
```

### Arguments

deasol          Object of class dea or dea_fuzzy obtained with some of the dea model functions.

**Author(s)**

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**Examples**

```
data("Coll_Blasco_2006")
data_example <- read_data(Coll_Blasco_2006,
                          ni = 2,
                          no = 2)
result <- model_multiplier(data_example,
                           orientation = "io",
                           rts = "crs")
lambdas(result)
```

---

Leon2003                        *Data: Leon, Liern, Ruiz and Sirvent (2003).*

---

**Description**

Data of 8 DMUs with one symmetric triangular fuzzy inputs: Xj=(xj,alphaj), and one symmetric triangular fuzzy outputs: Yj=(yj,betaj).

**Usage**

```
data("Leon2003")
```

**Format**

Data frame with 8 rows and 5 columns. Definition of fuzzy inputs (X) and fuzzy outputs (Y):

**x1** Input 1
**alpha1** spread vector Input 1
**y1** Output 1
**beta1** spread vector Output 1

**Author(s)**

**Vicente Coll-Serrano** (<vicente.coll@uv.es>). *Quantitative Methods for Measuring Culture (MC2). Applied Economics.*

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## Source

Leon, T.; Liern, V. Ruiz, J.; Sirvent, I. (2003). "A Possibilistic Programming Approach to the Assessment of Efficiency with DEA Models", Fuzzy Sets and Systems, 139, 407–419. doi: 10.1016/S0165-0114(02)00608-5

## See Also

read_data_fuzzy, modelfuzzy_possibilistic, cross_efficiency_fuzzy, modelfuzzy_guotanaka

## Examples

```
# Example. Replication of results in Leon et. al (2003, p. 416)
data("Leon2003")
data_example <- read_data_fuzzy(Leon2003,
                                dmus = 1,
                                inputs.mL = 2,
                                inputs.dL=3,
                                outputs.mL = 4,
                                outputs.dL=5)
result <- modelfuzzy_possibilistic(data_example,
                                   h = seq(0,1,by=0.1),
                                   orientation="io",
                                   rts="vrs")
efficiencies(result)
```

---

Libraries                    *Data: Cooper, Seiford and Tone (2007).*

---

## Description

Data for 23 public libraries of the Tokyo Metropolitan Area in 1986.

## Usage

```
data("Libraries")
```

## Format

Data frame with 23 rows and 7 columns. Definition of inputs (X) and outputs (Y):

**x1 = AREA** Floor area (unit=1000 m2)

**x2 = BOOKS** Number of books (unit=1000)

**x3 = STAFF** Staff

**x4 = POPULATION** Population (unit=1000)

**y1 = REGISTERED** Registered residents (unit=1000)

**y2 = BORROWED** Borrowed books (unit=1000)

**Author(s)**

**Vicente Coll-Serrano** (<vicente.coll@uv.es>). *Quantitative Methods for Measuring Culture (MC2). Applied Economics.*

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**Source**

Cooper, W.W.; Seiford, L.M. and Tone, K. (2007). Data Envelopment Analysis. A Comprehensive Text with Models, Applications, References and DEA-Solver Software. Springer

**See Also**

read_data, model_basic

**Examples**

```
# Example 1. Non-controllable input (POPULATION).
# Replication of results in Cooper, Seiford and Tone (2007, p.221)
data(Libraries)
# POPULATION (non-controllable input) is the forth input.
data_example <- read_data(Libraries,
                          dmus=1,
                          inputs=2:5,
                          nc_inputs=4,
                          outputs=6:7)
result <- model_basic(data_example,
                      orientation="io",
                      rts="crs")
efficiencies(result)
targets(result)

# Example 2. Non-discretionary input (POPULATION).
data(Libraries)
# POPULATION (non-controllable input) is the forth input.
data_example2 <- read_data(Libraries,
                           dmus=1,
                           inputs=2:5,
                           nd_inputs=4,
                           outputs=6:7)
result2 <- model_basic(data_example2,
                       orientation="io",
                       rts="crs")
efficiencies(result2)
targets(result2)
```

| Lim_Zhu_2015 | *Data: Lim and Zhu (2015).* |
|---|---|

### Description

Data of 37 R&D project proposal relating to the Turkish iron and steel industry. Authors consider one input and five outputs.

### Usage

```
data("Lim_Zhu_2015")
```

### Format

Data frame with 37 rows and 7 columns. Definition of inputs (X) and outputs (Y):

**x1 = Budget** Budget

**y1 = Indirect_economic** Indirect economic contribution

**y2 = Direct_economic** Direct economic contribution

**y3 = Technical** Technical contribution

**y4 = Social** Social contribution

**y5 = Scientific** Scientific contribution

### Author(s)

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### Source

Lim, S.; Zhu, J. (2015). "DEA Cross-Efficiency Under Variable Returns to Scale". Journal of Operational Research Society, 66(3), p. 476-487. doi: 10.1057/jors.2014.13

### See Also

read_data, model_multiplier, cross_efficiency

**Examples**

```
# Example. Arbitrary formulation.
# Input-oriented model under variable returns-to-scale.
data("Lim_Zhu_2015")
data_example <- read_data(Lim_Zhu_2015,
                          dmus=1,
                          ni=1,
                          no=5)
cross <- cross_efficiency(data_example,
                          epsilon = 0,
                          orientation = "io",
                          rts = "vrs",
                          selfapp = TRUE,
                          M2 = FALSE,
                          M3 = FALSE)
cross$Arbitrary$e
```

---

malmquist_index            *Malmquist index*

---

**Description**

This function calculates the conventional input/output oriented Malmquist index under variable return-to-scale.

**Usage**

```
malmquist_index(datadealist,
                dmu_eval = NULL,
                dmu_ref = NULL,
                orientation = c("io", "oo"),
                rts = c("crs", "vrs"),
                type1 = c("cont", "seq", "glob"),
                type2 = c("fgnz", "rd", "gl", "bias"),
                tc_vrs = FALSE)
```

**Arguments**

| | |
|---|---|
| datadealist | A list with the data at different times, including DMUs, inputs and outputs. |
| dmu_eval | A numeric vector containing which DMUs have to be evaluated. If NULL (default), all DMUs are considered. |
| dmu_ref | A numeric vector containing which DMUs are the evaluation reference set. If NULL (default), all DMUs are considered. |
| orientation | A string, equal to "io" (input oriented) or "oo" (output oriented). |
| rts | A string, determining the type of returns to scale, equal to "crs" (constant) or "vrs" (variable). |

| | |
|---|---|
| type1 | A string, equal to "cont" (contemporary), "seq" (sequential) or "glob" (global). |
| type2 | A string, equal to "fgnz" (Fare et al. 1994), "rd" (Ray and Desli 1997), "gl" (generalized) or "bias" (biased). |
| tc_vrs | Logical. If it is FALSE, it computes the vrs bias malmquist index by using the technical change under crs (Fare and Grosskopf 1996). Otherwise, it uses the technical change under vrs. |

**Value**

A numeric list with Malmquist index and other parameters.

**Note**

In the results: EC = Efficiency Change, PTEC = Pure Technical Efficiency Change, SEC = Scale Efficiency Change, TC = Technological Change, MI = Malmquist Index

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**References**

Caves, D.W.; Christensen, L. R.; Diewert, W.E. (1982). "The Economic Theory of Index Numbers and the Measurement of Input, Output, and Productivity". Econometrica, 50(6), 1393-1414.

Fare, R.; Grifell-Tatje, E.; Grosskopf, S.; Lovell, C.A.K. (1997). "Biased Technical Change and the Malmquist Productivity Index". Scandinavian Journal of Economics, 99(1), 119-127.

Fare, R.; Grosskopf, S.; Lindgren, B.; Roos, P. (1989). "Productivity Developments in Swedish Hospitals: A Malmquist Output Index Approach". Discussion paper n. 89-3. Southern Illinois University. Illinois.

Fare, R.; Grosskopf, S.; Lindgren, B.; Roos, P. (1992). "Productivity changes in Swedish Pharmacies 1980-89: A nonparametric Malmquist Approach". Journal of productivity Analysis, 3(3), 85-101.

Fare, R.; Grosskopf, S.; Norris, M.; Zhang, Z. (1994). "Productivity Growth, Technical Progress, and Efficiency Change in Industrialized Countries". American Economic Review, 84(1), 66-83.

Fare, R.; Grosskopf, S.; Roos, P. (1998), Malmquist Productivity Indexes: A Survey of Theory and Practice. In: Fare R., Grosskopf S., Russell R.R. (eds) Index Numbers: Essays in Honour of Sten Malmquist. Springer.

Grifell-Tatje, E.; Lovell, C.A.K. (1999). "A Generalized Malmquist productivity index". Top, 7(1), 81-101.

Pastor, J.T.; Lovell, C.A.k. (2005). "A global Malquist productiviyt index". Economics Letters, 88, 266-271.

Ray, S.C.; Desli, E. (1997). "Productivity Growth, Technical Progress, and Efficiency Change in Industrialized Countries: Comment". The American Economic Review, 87(5), 1033-1039.

Shestalova, V. (2003). "Sequential Malmquist Indices of Productivity Growth: An Application to OECD Industrial Activities". Journal of Productivity Analysis, 19, 211-226.

## Examples

```
# Example 1. With dataset in wide format.
# Replication of results in Wang and Lan (2011, p. 2768)
data("Economy")
data_example <- read_malmquist(datadea = Economy,
                               nper = 5,
                               arrangement = "horizontal",
                               ni = 2,
                               no = 1)
result <- malmquist_index(data_example, orientation = "io")
mi <- result$mi
effch <- result$ec
tech <- result$tc

# Example 2. With dataset in long format.
# Replication of results in Wang and Lan (2011, p. 2768)
data("EconomyLong")
data_example2 <- read_malmquist(EconomyLong,
                                percol = 2,
                                arrangement = "vertical",
                                inputs = 3:4,
                                outputs = 5)
result2 <- malmquist_index(data_example2, orientation = "io")
mi2 <- result2$mi
effch2 <- result2$ec
tech2 <- result2$tc

# Example 3. Replication of results in Grifell-Tatje and Lovell (1999, p. 100).
data("Grifell_Lovell_1999")
data_example <- read_malmquist(Grifell_Lovell_1999,
                               percol = 1,
                               dmus = 2,
                               inputs = 3,
                               outputs = 4,
                               arrangement = "vertical")
result_fgnz <- malmquist_index(data_example,
                               orientation = "oo",
                               rts = "vrs",
                               type1 = "cont",
                               type2 = "fgnz")
mi_fgnz <- result_fgnz$mi

result_rd <- malmquist_index(data_example,
                             orientation = "oo",
                             rts = "vrs",
                             type1 = "cont",
```

```
                              type2 = "rd")
mi_rd <- result_rd$mi

result_gl <- malmquist_index(data_example,
                              orientation = "oo",
                              rts = "vrs",
                              type1 = "cont",
                              type2 = "gl")
mi_gl <- result_gl$mi
```

---

maximal_friends          *Maximal friends of a set of DMUs.*

---

### Description

Finds the maximal friends subsets of a given set of DMUs, according to Tone (2010). It uses a descending algorithm in order to find directly maximal subsets.

### Usage

```
maximal_friends(datadea,
            dmu_ref = NULL,
            rts = c("crs", "vrs", "nirs", "ndrs"),
            tol = 1e-6,
            silent = FALSE)
```

### Arguments

| | |
|---|---|
| datadea | The data, including n DMUs, m inputs and s outputs. |
| dmu_ref | A numeric vector containing which DMUs are the evaluation reference set, i.e. the cluster of DMUs from which we want to find maximal friends. If NULL (default), all DMUs are considered. |
| rts | A string, determining the type of returns to scale, equal to "crs" (constant), "vrs" (variable), "nirs" (non-increasing) or "ndrs" (non-decreasing). |
| tol | Numeric, a tolerance margin for checking efficiency. It is 1e-6 by default. |
| silent | Logical, if FALSE (default) steps are printed. |

### Value

A list with numeric vectors representing maximal friends subsets of DMUs.

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**References**

Tone, K. (2010). "Variations on the theme of slacks-based measure of efficiency in DEA", European Journal of Operational Research, 200, 901-907. doi: 10.1016/j.ejor.2009.01.027

**See Also**

`is.friends`, `model_sbmeff`

**Examples**

```
## Not run:
data("PFT1981")
datadea <- read_data(PFT1981, ni = 5, no = 3)
# We find maximal friends of a cluster formed by the first 20 DMUs
result <- maximal_friends(datadea = datadea,
                          dmu_ref = 1:20)

## End(Not run)
```

---

modelfuzzy_guotanaka        *Fuzzy DEA model*

---

**Description**

Solve the Fuzzy input-oriented and output-oriented DEA model proposed by Guo and Tanaka (2001) under constant returns-to-scale. In deaR is implemented the LP poblem given by the model (16) in Guo and Tanaka (2001, p.155). The fuzzy efficiencies are calculated according to equations in (17) (Guo and Tanaka, 2001, p.155). The (crisp) relative efficiencies and multipliers for the case h = 1 are obtained from the CCR model (`model_multiplier`).

**Usage**

```
modelfuzzy_guotanaka(datadea,
                     dmu_eval = NULL,
                     dmu_ref = NULL,
                     orientation = c("io", "oo"),
                     h = 1)
```

## Arguments

| | |
|---|---|
| datadea | The data, including DMUs, inputs and outputs. |
| dmu_eval | A numeric vector containing which DMUs have to be evaluated. If NULL (default), all DMUs are considered. |
| dmu_ref | A numeric vector containing which DMUs are the evaluation reference set. If NULL (default), all DMUs are considered. |
| orientation | A string, equal to "io" (input oriented) or "oo" (output oriented). |
| h | A numeric vector with the h-levels (in [0,1]). |

## Value

An object of class deadata_fuzzy.

## Note

The optimal solution of model (16) is not unique.

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## References

Emrouznejad, A.; Tavana, M.; Hatami-Marbini, A. (2014). "The State of the Art in Fuzzy Data Envelopment Analysis", in A. Emrouznejad and M. Tavana (eds.), Performance Measurement with Fuzzy Data Envelopment Analysis. Studies in Fuzziness and Soft Computing 309. Springer, Berlin. doi: 10.1007/9783642413728_1

Guo, P.; Tanaka, H. (2001). "Fuzzy DEA: A Perceptual Evaluation Method", Fuzzy Sets and Systems, 119, 149–160. doi: 10.1016/S01650114(99)001062

Hatami-Marbini, A.; Emrouznejad, A.; Tavana, M. (2011). "A Taxonomy and Review of the Fuzzy Data Envelopment Analysis Literature: Two Decades in the Making", European Journal of Operational Research, 214, 457–472. doi: 10.1016/j.ejor.2011.02.001

## See Also

model_basic, model_multiplier, modelfuzzy_kaoliu, modelfuzzy_possibilistic, cross_efficiency_fuzzy

## Examples

```
# Example 1.
# Replication results in Guo and Tanaka (2001, p. 159).
# In deaR is implemented the LP poblem given by the model 16 in Guo and Tanaka (2001, p. 155).
# The fuzzy efficiencies are calculated according to equations in (17) (Guo and Tanaka, 2001,p.155).
data("Guo_Tanaka_2001")
data_example <- read_data_fuzzy(Guo_Tanaka_2001,
                                inputs.mL = 2:3,
                                inputs.dL = 4:5,
                                outputs.mL = 6:7,
                                outputs.dL = 8:9)
result <- modelfuzzy_guotanaka(data_example,
                               h = c(0, 0.5, 0.75, 1),
                               orientation = "io")
efficiencies(result)

# Example 2.
data("Guo_Tanaka_2001")
data_example <- read_data_fuzzy(Guo_Tanaka_2001,
                                inputs.mL = 2:3,
                                inputs.dL = 4:5,
                                outputs.mL = 6:7,
                                outputs.dL = 8:9)
result2 <- modelfuzzy_guotanaka(data_example,
                                h = seq(0, 1, by = 0.1),
                                orientation = "io")
efficiencies(result2)
```

---

modelfuzzy_kaoliu          *Fuzzy DEA model.*

---

## Description

Solve the fuzzy DEA model by Kao and Liu (2000)

## Usage

```
modelfuzzy_kaoliu(datadea,
                  dmu_eval = NULL,
                  kaoliu_modelname = c("basic", "additive", "addsupereff",
                                       "deaps", "fdh", "multiplier", "nonradial",
                                       "sbmeff", "sbmsupereff", "supereff"),
                  alpha = 1,
                  ...)
```

## Arguments

| | |
|---|---|
| datadea | The data, including DMUs, inputs and outputs. |
| dmu_eval | A numeric vector containing which DMUs have to be evaluated. If NULL (default), all DMUs are considered. |
| kaoliu_modelname | |
| | a string containing the name of the model. |
| alpha | A numeric vector with the alpha-cuts (in [0,1]). If alpha>1, it determines the number of alpha-cuts, equispatially distributed in [0,1]. |
| ... | dmu_ref, orientation, rts and other model parameters. |

## Value

An object of class deadata_fuzzy.

## Author(s)

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## References

Boscá, J.E.; Liern, V.; Sala, R.; Martínez, A. (2011). "Ranking Decision Making Units by Means of Soft Computing DEA Models". International Journal of Uncertainty, Fuzziness and Knowledge-Based Systems, 19(1), p.115-134.

Emrouznejad, A.; Tavana, M.; Hatami-Marbini, A. (2014). "The State of the Art in Fuzzy Data Envelopment Analysis", in A. Emrouznejad and M. Tavana (eds.), Performance Measurement with Fuzzy Data Envelopment Analysis. Studies in Fuzziness and Soft Computing 309. Springer, Berlin.

Hatami-Marbini, A.; Emrouznejad, A.; Tavana, M. (2011). "A Taxonomy and Review of the Fuzzy Data Envelopment Analysis Literature: Two Decades in the Making", European Journal of Operational Research, 214, 457–472.

Kao, C.; Liu, S.T. (2000). "Fuzzy efficiency measures in data envelopment analysis, Fuzzy Sets and Systems", 119, 149–160.

Kao, C., Liu, S.T., (2000). "Data envelopment analysis with missing data: An application to university libraries in Taiwan", Journal of the Operational Research Society, 51, 897–905.

Kao, C., Liu, S.T. (2003). "A mathematical programming approach to fuzzy efficiency ranking", International Journal of Production Economics, 85.

## See Also

[model_basic](), [model_multiplier](), [modelfuzzy_possibilistic](), [modelfuzzy_guotanaka]()

## Examples

```
# Example 1.
# Replication of results in Boscá, Liern, Sala and Martínez (2011, p.125)
data("Leon2003")
data_example <- read_data_fuzzy(datadea = Leon2003,
                                inputs.mL = 2,
                                inputs.dL = 3,
                                outputs.mL = 4,
                                outputs.dL = 5)
result <- modelfuzzy_kaoliu(data_example,
                            kaoliu_modelname = "basic",
                            alpha = seq(0, 1, by = 0.1),
                            orientation = "io",
                            rts = "vrs")
efficiencies(result)

# Example 2.
# Replication of results in Kao and Liu (2003, p.152)
data("Kao_Liu_2003")
data_example <- read_data_fuzzy(Kao_Liu_2003,
                                inputs.mL = 2,
                                outputs.mL = 3:7,
                                outputs.dL = c(NA, NA, 8, NA, 10),
                                outputs.dR = c(NA, NA, 9, NA, 11))
result <- modelfuzzy_kaoliu(data_example,
                            kaoliu_modelname = "basic",
                            orientation = "oo",
                            rts = "vrs",
                            alpha = 0)
sol <- efficiencies(result)
eff <- data.frame(1 / sol$Worst, 1 / sol$Best)
names(eff) <- c("eff_lower", "eff_upper")
eff
```

---

modelfuzzy_possibilistic

*Possibilistic Fuzzy DEA model.*

---

### Description

Solve the possibilistic fuzzy DEA model proposed by León et. al (2003).

### Usage

```
modelfuzzy_possibilistic(datadea,
                         dmu_eval = NULL,
                         poss_modelname = c("basic"),
                         h = 1,
                         ...)
```

## Arguments

| | |
|---|---|
| datadea | The data, including DMUs, inputs and outputs. |
| dmu_eval | A numeric vector containing which DMUs have to be evaluated. If NULL (default), all DMUs are considered. |
| poss_modelname | a string containing the name of the model. |
| h | A numeric vector with the h-levels (in [0,1]). |
| ... | dmu_ref, orientation, rts and other model parameters. |

## Value

An object of class deadata_fuzzy.

## Author(s)

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## References

Emrouznejad, A.; Tavana, M.; Hatami-Marbini, A. (2014). "The State of the Art in Fuzzy Data Envelopment Analysis", in A. Emrouznejad and M. Tavana (eds.), Performance Measurement with Fuzzy Data Envelopment Analysis. Studies in Fuzziness and Soft Computing 309. Springer, Berlin. doi: 10.1007/9783642413728_1

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Léon, T.; Liern, V. Ruiz, J.; Sirvent, I. (2003). "A Possibilistic Programming Approach to the Assessment of Efficiency with DEA Models", Fuzzy Sets and Systems, 139, 407–419. doi: 10.1016/S01650114(02)006085

## See Also

model_basic, modelfuzzy_kaoliu, modelfuzzy_guotanaka

## Examples

```
# Replication of results in Leon et. al (2003, p. 416)
data("Leon2003")
data_example <- read_data_fuzzy(Leon2003,
                                inputs.mL = 2,
                                inputs.dL = 3,
                                outputs.mL = 4,
                                outputs.dL = 5)
result <- modelfuzzy_possibilistic(data_example,
```

```
                                h = seq(0, 1, by = 0.1),
                                orientation = "io",
                                rts = "vrs")
efficiencies(result)
```

---

model_additive          *Additive DEA model.*

---

### Description

Solve the additive model of Charnes et. al (1985). With the current version of deaR, it is possible
to solve input-oriented, output-oriented, and non-oriented additive dea model under constant and
non-constant returns-to-scale.

Besides, the user can set weights for the input slacks and/or output slacks. So, it is also possible to
solve weighted additive models. For example: Measure of Inefficiency Proportions (MIP), Range
Adjusted Measure (RAM), etc.

### Usage

```
model_additive(datadea,
                dmu_eval = NULL,
                dmu_ref = NULL,
                orientation = NULL,
                weight_slack_i = 1,
                weight_slack_o = 1,
                rts = c("crs", "vrs", "nirs", "ndrs", "grs"),
                L = 1,
                U = 1,
                compute_target = TRUE,
                returnlp = FALSE,
                ...)
```

### Arguments

| | |
|---|---|
| datadea | The data, including n DMUs, m inputs and s outputs. |
| dmu_eval | A numeric vector containing which DMUs have to be evaluated. If NULL (default), all DMUs are considered. |
| dmu_ref | A numeric vector containing which DMUs are the evaluation reference set. If NULL (default), all DMUs are considered. |
| orientation | This parameter is either NULL (default) or a string, equal to "io" (input-oriented) or "oo" (output-oriented). It is used to modify the weight slacks. If input-oriented, weight_slack_o are taken 0. If output-oriented, weight_slack_i are taken 0. |
| weight_slack_i | A value, vector of length m, or matrix m x ne (where ne is the length of dmu_eval) with the weights of the input slacks. If 0, output-oriented. |

| | |
|---|---|
| weight_slack_o | A value, vector of length s, or matrix s x ne (where ne is the length of dmu_eval) with the weights of the output slacks. If 0, input-oriented. |
| rts | A string, determining the type of returns to scale, equal to "crs" (constant), "vrs" (variable), "nirs" (non-increasing), "ndrs" (non-decreasing) or "grs" (generalized). |
| L | Lower bound for the generalized returns to scale (grs). |
| U | Upper bound for the generalized returns to scale (grs). |
| compute_target | Logical. If it is TRUE, it computes targets. |
| returnlp | Logical. If it is TRUE, it returns the linear problems (objective function and constraints). |
| ... | Ignored, for compatibility issues. |

## Note

In this model, the efficiency score is the sum of the slacks. Therefore, a DMU is efficient when the objective value (objval) is zero.

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## References

Charnes, A.; Cooper, W.W.; Golany, B.; Seiford, L.; Stuz, J. (1985) "Foundations of Data Envelopment Analysis for Pareto-Koopmans Efficient Empirical Production Functions", Journal of Econometrics, 30(1-2), 91-107. doi: 10.1016/03044076(85)901332

Charnes, A.; Cooper, W.W.; Lewin, A.Y.; Seiford, L.M. (1994). Data Envelopment Analysis: Theory, Methology, and Application. Boston: Kluwer Academic Publishers. doi: 10.1007/97894011-06375

Cooper, W.W.; Park, K.S.; Pastor, J.T. (1999). "RAM: A Range Adjusted Measure of Inefficiencies for Use with Additive Models, and Relations to Other Models and Measures in DEA". Journal of Productivity Analysis, 11, p. 5-42. doi: 10.1023/A:1007701304281

## See Also

[model_addsupereff](model_addsupereff)

## Examples

```
# Example 1.
# Replication of results in Charnes et. al (1994, p. 27)
x <- c(2, 3, 6, 9, 5, 4, 10)
y <- c(2, 5, 7, 8, 3, 1, 7)
data_example <- data.frame(dmus = letters[1:7], x, y)
data_example <- read_data(data_example,
                          ni = 1,
                          no = 1)
result <- model_additive(data_example,
                         rts = "vrs")
efficiencies(result)
slacks(result)
lambdas(result)

# Example 2.
# Measure of Inefficiency Proportions (MIP).
x <- c(2, 3, 6, 9, 5, 4, 10)
y <- c(2, 5, 7, 8, 3, 1, 7)
data_example <- data.frame(dmus = letters[1:7], x, y)
data_example <- read_data(data_example, ni = 1, no = 1)
result2 <- model_additive(data_example, rts = "vrs",
                          weight_slack_i = 1 / data_example[["input"]],
                          weight_slack_o = 1 / data_example[["output"]])
slacks(result2)

# Example 3.
# Range Adjusted Measure of Inefficiencies (RAM).
x <- c(2, 3, 6, 9, 5, 4, 10)
y <- c(2, 5, 7, 8, 3, 1, 7)
data_example <- data.frame(dmus=letters[1:7], x, y)
data_example <- read_data(data_example, ni = 1, no = 1)
range_i <- apply(data_example[["input"]], 1, max) - apply(data_example[["input"]], 1, min)
range_o <- apply(data_example[["output"]], 1, max) - apply(data_example[["output"]], 1, min)
w_range_i <- 1 / (range_i * (dim(data_example[["input"]])[1] + dim(data_example[["output"]])[1]))
w_range_o <- 1 / (range_o * (dim(data_example[["output"]])[1] + dim(data_example[["output"]])[1]))
result3 <- model_additive(data_example, rts = "vrs",
                          weight_slack_i = w_range_i,
                          weight_slack_o = w_range_o)
slacks(result3)
```

---

model_addsupereff                  *Additive super-efficiency DEA model.*

---

## Description

Solve the additive super-efficiency model proposed by Du, Liang and Zhu (2010). It is an extension of the SBM super-efficiency to the additive DEA model.

**Usage**

```
model_addsupereff(datadea,
                  dmu_eval = NULL,
                  dmu_ref = NULL,
                  orientation = NULL,
                  weight_slack_i = NULL,
                  weight_slack_o = NULL,
                  rts = c("crs", "vrs", "nirs", "ndrs", "grs"),
                  L = 1,
                  U = 1,
                  compute_target = TRUE,
                  returnlp = FALSE,
                  ...)
```

**Arguments**

| | |
|---|---|
| datadea | The data, including n DMUs, m inputs and s outputs. |
| dmu_eval | A numeric vector containing which DMUs have to be evaluated. If NULL (default), all DMUs are considered. |
| dmu_ref | A numeric vector containing which DMUs are the evaluation reference set. If NULL (default), all DMUs are considered. |
| orientation | This parameter is either NULL (default) or a string, equal to "io" (input-oriented) or "oo" (output-oriented). It is used to modify the weight slacks. If input-oriented, weight_slack_o are taken 0. If output-oriented, weight_slack_i are taken 0. |
| weight_slack_i | A value, vector of length m, or matrix m x ne (where ne is the length of dmu_eval) with the weights of the input superslacks (t_input). If 0, output-oriented. If weight_slack_i is the matrix of the inverses of inputs (of DMUS in dmu_eval), the model is unit invariant. |
| weight_slack_o | A value, vector of length s, or matrix s x ne (where ne is the length of dmu_eval) with the weights of the output superslacks (t_output). If 0, input-oriented. If weight_slack_o is the matrix of the inverses of outputs (of DMUS in dmu_eval), the model is unit invariant. |
| rts | A string, determining the type of returns to scale, equal to "crs" (constant), "vrs" (variable), "nirs" (non-increasing), "ndrs" (non-decreasing) or "grs" (generalized). |
| L | Lower bound for the generalized returns to scale (grs). |
| U | Upper bound for the generalized returns to scale (grs). |
| compute_target | Logical. If it is TRUE, it computes targets, projections and slacks. |
| returnlp | Logical. If it is TRUE, it returns the linear problems (objective function and constraints). |
| ... | Ignored, for compatibility issues. |

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## References

Du, J.; Liang, L.; Zhu, J. (2010). "A Slacks-based Measure of Super-efficiency in Data Envelopment Analysis. A Comment", European Journal of Operational Research, 204, 694-697. doi: 10.1016/j.ejor.2009.12.007

Zhu, J. (2014). Quantitative Models for Performance Evaluation and Benchmarking. Data Envelopment Analysis with Spreadsheets. 3rd Edition Springer, New York. Doi: 10.1007/978-3-319-06647-9.

## See Also

model_additive, model_supereff, model_sbmsupereff

## Examples

```
# Replication of results in Du, Liang and Zhu (2010, Table 6, p.696)
data("Power_plants")
Power_plants <- read_data(Power_plants,
                          ni = 4,
                          no = 2)
result <- model_addsupereff(Power_plants,
                            rts = "crs")
efficiencies(result)
```

---

model_basic                     *Basic (radial) DEA model.*

---

## Description

Solve input and output oriented basic DEA models (envelopment form) under constant (CCR DEA model), variable (BCC DEA model), non-increasing, non-decreasing or generalized returns to scale. By default, models are solved in a two-stage process (DEA slacks are maximized).

The model_basic function allows to treat with non-discretional, uncontrollable and undesirable inputs/outputs.

Finally, you can use the model_basic function to solve directional DEA models by choosing orientation = "dir".

## Usage

```
model_basic(datadea,
            dmu_eval = NULL,
            dmu_ref = NULL,
            orientation = c("io", "oo", "dir"),
            dir_input = NULL,
            dir_output = NULL,
            rts = c("crs", "vrs", "nirs", "ndrs", "grs"),
            L = 1,
            U = 1,
            maxslack = TRUE,
            weight_slack_i = 1,
            weight_slack_o = 1,
            vtrans_i = NULL,
            vtrans_o = NULL,
            compute_target = TRUE,
            compute_multiplier = FALSE,
            returnlp = FALSE,
            ...)
```

## Arguments

| | |
|---|---|
| datadea | The data, including n DMUs, m inputs and s outputs. |
| dmu_eval | A numeric vector containing which DMUs have to be evaluated. If NULL (default), all DMUs are considered. |
| dmu_ref | A numeric vector containing which DMUs are the evaluation reference set. If NULL (default), all DMUs are considered. |
| orientation | A string, equal to "io" (input oriented), "oo" (output oriented), or "dir" (directional). |
| dir_input | A value, vector of length m, or matrix m x ne (where ne is the length of dmu_eval) with the input directions. If dir_input == input matrix (of DMUS in dmu_eval) and dir_output == 0, it is equivalent to input oriented (beta = 1 - efficiency). If dir_input is omitted, input matrix (of DMUS in dmu_eval) is assigned. |
| dir_output | A value, vector of length s, or matrix s x ne (where ne is the length of dmu_eval) with the output directions. If dir_input == 0 and dir_output == output matrix (of DMUS in dmu_eval), it is equivalent to output oriented (beta = efficiency - 1). If dir_output is omitted, output matrix (of DMUS in dmu_eval) is assigned. |
| rts | A string, determining the type of returns to scale, equal to "crs" (constant), "vrs" (variable), "nirs" (non-increasing), "ndrs" (non-decreasing) or "grs" (generalized). |
| L | Lower bound for the generalized returns to scale (grs). |
| U | Upper bound for the generalized returns to scale (grs). |
| maxslack | Logical. If it is TRUE, it computes the max slack solution. |
| weight_slack_i | A value, vector of length m, or matrix m x ne (where ne is the length of dmu_eval) with the weights of the input slacks for the max slack solution. |

| | |
|---|---|
| weight_slack_o | A value, vector of length s, or matrix s x ne (where ne is the length of dmu_eval) with the weights of the output slacks for the max slack solution. |
| vtrans_i | Numeric vector of translation for undesirable inputs with non-directional orientation. If vtrans_i[i] is NA, then it applies the "max + 1" translation to the i-th undesirable input. If vtrans_i is a constant, then it applies the same translation to all undesirable inputs. If vtrans_i is NULL, then it applies the "max + 1" translation to all undesirable inputs. |
| vtrans_o | Numeric vector of translation for undesirable outputs with non-directional orientation, analogous to vtrans_i, but applied to outputs. |
| compute_target | Logical. If it is TRUE, it computes targets of the max slack solution. |
| compute_multiplier | |
| | Logical. If it is TRUE, it computes multipliers (dual solution) when orientation is "io" or "oo". |
| returnlp | Logical. If it is TRUE, it returns the linear problems (objective function and constraints) of stage 1. |
| ... | Ignored, for compatibility issues. |

**Note**

(1) With undesirable inputs/outputs and non-directional orientation, you should select "vrs" returns to scale (BCC model) in order to maintain translation invariance (Seiford and Zhu, 2002). If deaR detects that you are not specifying rts = "vrs", it makes the change to "vrs" automatically.

(2) With undesirable inputs and non-directional orientation use input-oriented BCC model, and with undesirable outputs and non-directional orientation use output-oriented BCC model. Alternatively, you can also treat the undesirable outputs as inputs and then apply the input-oriented BCC model (similarly with undesirable inputs).

(3) With orientation = "dir" (directional distance function model), efficient DMUs are those for which beta = 0.

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**References**

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Charnes, A.; Cooper, W.W.; Rhodes, E. (1981). "Evaluating Program and Managerial Efficiency: An Application of Data Envelopment Analysis to Program Follow Through", Management Science, 27(6), 668-697.

Banker, R.; Charnes, A.; Cooper, W.W. (1984). "Some Models for Estimating Technical and Scale Inefficiencies in Data Envelopment Analysis", Management Science; 30; 1078-1092.

Undesirable inputs/outputs:

Pastor, J.T. (1996). "Translation Invariance in Data Envelopment Analysis: a Generalization", Annals of Operations Research, 66(2), 91-102.

Seiford, L.M.; Zhu, J. (2002). "Modeling undesirable factors in efficiency evaluation", European Journal of Operational Research 142, 16-20.

Färe, R. ; Grosskopf, S. (2004). "Modeling undesirable factors in efficiency evaluation: Comment", European Journal of Operational Research 157, 242-245.

Hua Z.; Bian Y. (2007). DEA with Undesirable Factors. In: Zhu J., Cook W.D. (eds) Modeling Data Irregularities and Structural Complexities in Data Envelopment Analysis. Springer, Boston, MA.

Non-discretionary/Non-controllable inputs/outputs:

Banker, R.; Morey, R. (1986). "Efficiency Analysis for Exogenously Fixed Inputs and Outputs", Operations Research; 34; 513-521.

Ruggiero J. (2007). Non-Discretionary Inputs. In: Zhu J., Cook W.D. (eds) Modeling Data Irregularities and Structural Complexities in Data Envelopment Analysis. Springer, Boston, MA.

Directional DEA model:

Chambers, R.G.; Chung, Y.; Färe, R. (1996). "Benefit and Distance Functions", Journal of Economic Theory, 70(2), 407-419.

Chambers, R.G.; Chung, Y.; Färe, R. (1998). "Profit Directional Distance Functions and Nerlovian Efficiency", Journal of Optimization Theory and Applications, 95, 351-354.

### See Also

model_multiplier, model_supereff

### Examples

```
# Example 1. Basic DEA model with desirable inputs/outputs.
# Replication of results in Charnes, Cooper and Rhodes (1981).
data("PFT1981")
# Selecting DMUs in Program Follow Through (PFT)
PFT <- PFT1981[1:49, ]
PFT <- read_data(PFT,
                 inputs = 2:6,
                 outputs = 7:9 )
eval_pft <- model_basic(PFT,
                        orientation = "io",
                        rts = "crs")
eff <- efficiencies(eval_pft)
s <- slacks(eval_pft)
lamb <- lambdas(eval_pft)
tar <- targets(eval_pft)
ref <- references(eval_pft)
returns <- rts(eval_pft)
```

```
# Example 2. Basic DEA model with undesirable outputs.
# Replication of results in Hua and Bian (2007).
data("Hua_Bian_2007")
# The third output is an undesirable output.
data_example <- read_data(Hua_Bian_2007,
                          ni = 2,
                          no = 3,
                          ud_outputs = 3)
# Translation parameter (vtrans_o) is set to 1500
result <- model_basic(data_example,
                      orientation = "oo",
                      rts = "vrs",
                      vtrans_o = 1500)
eff <- efficiencies(result)
1 / eff # results M5 in Table 6-5 (p.119)


# Example 3. Basic DEA model with non-discretionary (fixed) inputs.
# Replication of results in Ruggiero (2007).
data("Ruggiero2007")
# The second input is a non-discretionary input.
datadea <- read_data(Ruggiero2007,
                     ni = 2,
                     no = 1,
                     nd_inputs = 2)
result <- model_basic(datadea,
                      orientation = "io",
                      rts = "crs")
efficiencies(result)
```

---

model_deaps                    *Preference Structure DEA model.*

---

### Description

With this non-radial DEA model (Zhu, 1996), the user can specify the preference input (or output) weigths that reflect the relative degree of desirability of the adjustments of the current input (or output) levels.

### Usage

```
model_deaps(datadea,
            dmu_eval = NULL,
            dmu_ref = NULL,
            weight_eff = 1,
            orientation = c("io", "oo"),
            rts = c("crs", "vrs", "nirs", "ndrs", "grs"),
            L = 1,
            U = 1,
```

```
                    restricted_eff = TRUE,
                    maxslack = TRUE,
                    weight_slack = 1,
                    compute_target = TRUE,
                    returnlp = FALSE,
                    ...)
```

## Arguments

| | |
|---|---|
| datadea | The data, including n DMUs, m inputs and s outputs. |
| dmu_eval | A numeric vector containing which DMUs have to be evaluated. If NULL (default), all DMUs are considered. |
| dmu_ref | A numeric vector containing which DMUs are the evaluation reference set. If NULL (default), all DMUs are considered. |
| weight_eff | Preference weights. If input-oriented, it is a value, vector of length m, or matrix m x ne (where ne is the lenght of dmu_eval) with the weights applied to the input efficiencies. If output-oriented, it is a value, vector of length s, or matrix s x ne with the weights applied to the output efficiencies. |
| orientation | A string, equal to "io" (input-oriented) or "oo" (output-oriented). |
| rts | A string, determining the type of returns to scale, equal to "crs" (constant), "vrs" (variable), "nirs" (non-increasing), "ndrs" (non-decreasing) or "grs" (generalized). |
| L | Lower bound for the generalized returns to scale (grs). |
| U | Upper bound for the generalized returns to scale (grs). |
| restricted_eff | Logical. If it is TRUE, the efficiencies are restricted to be <=1 (input-oriented) or >=1 (output-oriented). |
| maxslack | Logical. If it is TRUE, it computes the max slack solution. |
| weight_slack | If input-oriented, it is a value, vector of length s, or matrix s x ne with the weights of the output slacks for the max slack solution. If output-oriented, it is a value, vector of length m, or matrix m x ne with the weights of the input slacks for the max slack solution. |
| compute_target | Logical. If it is TRUE, it computes targets of the max slack solution. |
| returnlp | Logical. If it is TRUE, it returns the linear problems (objective function and constraints) of stage 1. |
| ... | Ignored, for compatibility issues. |

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## References

Zhu, J. (1996). "Data Envelopment Analysis with Preference Structure", The Journal of the Operational Research Society, 47(1), 136. DOI: 10.2307/2584258

Zhu, J. (2014). Quantitative Models for Performance Evaluation and Benchmarking. Data Envelopment Analysis with Spreadsheets. 3rd Edition Springer, New York. DOI: 10.1007/978-3-319-06647-9

## See Also

model_nonradial, model_profit, model_sbmeff

## Examples

```
data("Fortune500")
data_deaps <- read_data(datadea = Fortune500,
                        ni = 3,
                        no = 2)
result <- model_deaps(data_deaps,
                      weight_eff = c(1, 2, 3),
                      orientation = "io",
                      rts = "vrs")
efficiencies(result)
```

---

model_fdh                          *Free disposal hull (FDH) model.*

---

## Description

FDH model allows the free disposability to construct the production possiblity set. The central feature of the FDH model is the lack of convexity for its production possibility set (Thrall, 1999).

## Usage

```
model_fdh(datadea,
          fdh_modelname = c("basic"),
          ...)
```

## Arguments

| | |
|---|---|
| datadea | The data, including DMUs, inputs and outputs. |
| fdh_modelname | A string containing the name of the model to apply FDH. |
| ... | dmu_eval, dmu_ref, orientation and other model parameters. |

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**References**

Cherchye, L.; Kuosmanen, T.; Post, T. (2000). "What Is the Economic Meaning of FDH? A Reply to Thrall". Journal of Productivity Analysis, 13(3), 263–267.

Deprins, D.; Simar, L. and Tulkens, H. (1984). Measuring Labor-Efficiency in Post Offices. In M. Marchand, P. Pestieau and H. Tulkens (eds.), The Performance of Public Entreprises: Concepts and Measurement. Amsterdam: North-Holland.

Sanei, M.; Mamizadeh Chatghayeb, S. (2013). "Free Disposal Hull Models in Supply Chain Management", International Journal of Mathematical Modelling and Computations, 3(3), 125-129.

Thrall, R. M. (1999). "What Is the Economic Meaning of FDH?", Journal of Productivity Analysis, 11(3), 243–50.

**Examples**

```
# Example 1. FDH input-oriented.
# Replication of results in Sanei and Mamizadeh Chatghayeb (2013)
data("Supply_Chain")
data_fdh1 <- read_data(Supply_Chain,
                       inputs = 2:4,
                       outputs = 5:6)
result <- model_fdh(data_fdh1) # by default orientation = "io"
efficiencies(result)

# Example 2. FDH output-oriented.
# Replication of results in Sanei and Mamizadeh Chatghayeb (2013)
data("Supply_Chain")
data_fdh2 <- read_data(Supply_Chain,
                       inputs = 5:6,
                       outputs = 7:8)
result2 <- model_fdh(data_fdh2,
                     orientation = "oo")
efficiencies(result2)
```

---

model_multiplier          *Multiplier DEA model*

---

**Description**

Solve input-oriented and output-oriented basic DEA models (multiplicative form) under constant (CCR DEA model), variable (BCC DEA model), non-increasing, non-decreasing or generalized returns to scale. It does not take into account uncontrollable, non-discretional or undesirable inputs/outputs.

**Usage**

```
model_multiplier(datadea,
                 dmu_eval = NULL,
                 dmu_ref = NULL,
                 epsilon = 0,
                 orientation = c("io", "oo"),
                 rts = c("crs", "vrs", "nirs", "ndrs", "grs"),
                 L = 1,
                 U = 1,
                 returnlp = FALSE,
                 compute_lambda = TRUE,
                 ...)
```

**Arguments**

| | |
|---|---|
| datadea | The data, including DMUs, inputs and outputs. |
| dmu_eval | A numeric vector containing which DMUs have to be evaluated. If NULL (default), all DMUs are considered. |
| dmu_ref | A numeric vector containing which DMUs are the evaluation reference set. If NULL (default), all DMUs are considered. |
| epsilon | Numeric, multipliers must be >= epsilon. |
| orientation | A string, equal to "io" (input-oriented) or "oo" (output-oriented). |
| rts | A string, determining the type of returns to scale, equal to "crs" (constant), "vrs" (variable), "nirs" (non-increasing), "ndrs" (non-decreasing) or "grs" (generalized). |
| L | Lower bound for the generalized returns to scale (grs). |
| U | Upper bound for the generalized returns to scale (grs). |
| returnlp | Logical. If it is TRUE, it returns the linear problems (objective function and constraints). |
| compute_lambda | Logical. If it is TRUE, it computes the dual problem and lambdas. |
| ... | Ignored, for compatibility issues. |

**Note**

(1) Very important with the multiplier model: "The optimal weights for an efficient DMU need not be unique" (Cooper, Seiford and Tone, 2007:31). "Usually, the optimal weights for inefficient DMUs are unique, the exception being when the line of the DMU is parallel to one of the boundaries of the feasible region" (Cooper, Seiford and Tone, 2007:32).

(2) The measure of technical input (or output) efficiency obtained by using multiplier DEA models is better the smaller the value of epsilon.

(3) Epsilon is usually set equal to \10^-6. However, if epsilon is not set correctly, the multiplier model can be infeasible (Zhu,2014:49).

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Charnes, A.; Cooper, W.W. (1962). "Programming with Linear Fractional Functionals", Naval Research Logistics Quarterly 9, 181-185. doi: 10.1002/nav.3800090303

Charnes, A.; Cooper, W.W.; Rhodes, E. (1978). "Measuring the Efficiency of Decision Making Units", European Journal of Operational Research 2, 429–444. doi: 10.1016/03772217(78)901388

Charnes, A.; Cooper, W.W.; Rhodes, E. (1979). "Short Communication: Measuring the Efficiency of Decision Making Units", European Journal of Operational Research 3, 339. doi: 10.1016/0377-2217(79)902297

Golany, B.; Roll, Y. (1989). "An Application Procedure for DEA", OMEGA International Journal of Management Science, 17(3), 237-250. doi: 10.1016/03050483(89)900297

Seiford, L.M.; Thrall, R.M. (1990). "Recent Developments in DEA. The Mathematical Programming Approach to Frontier Analysis", Journal of Econometrics 46, 7-38. doi: 10.1016/0304-4076(90)90045U

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### See Also

model_basic, cross_efficiency

### Examples

```
# Example 1.
# Replication of results in Golany and Roll (1989).
data("Golany_Roll_1989")
data_example <- read_data(datadea = Golany_Roll_1989[1:10, ],
                          inputs = 2:4,
                          outputs = 5:6)
result <- model_multiplier(data_example,
                           epsilon = 0,
                           orientation = "io",
                           rts = "crs")
```

```
efficiencies(result)
multipliers(result)

# Example 2.
# Multiplier model with infeasible solutions (See note).
data("Fortune500")
data_Fortune <- read_data(datadea = Fortune500,
                          inputs = 2:4,
                          outputs = 5:6)
result2 <- model_multiplier(data_Fortune,
                            epsilon = 1e-6,
                            orientation = "io",
                            rts = "crs")
# Results for General Motors and Ford Motor are not shown by deaR
# because the solution is infeasible.
efficiencies(result2)
multipliers(result2)
```

---

model_nonradial                    *Non-radial DEA model.*

---

### Description

Non-radial DEA model allows for non-proportional reductions in each input or augmentations in
each output.

### Usage

```
model_nonradial(datadea,
                dmu_eval = NULL,
                dmu_ref = NULL,
                orientation = c("io", "oo"),
                rts = c("crs", "vrs", "nirs", "ndrs", "grs"),
                L = 1,
                U = 1,
                maxslack = TRUE,
                weight_slack = 1,
                compute_target = TRUE,
                returnlp = FALSE,
                ...)
```

### Arguments

datadea      The data, including n DMUs, m inputs and s outputs.

dmu_eval     A numeric vector containing which DMUs have to be evaluated. If NULL (de-
             fault), all DMUs are considered.

| | |
|---|---|
| dmu_ref | A numeric vector containing which DMUs are the evaluation reference set. If NULL (default), all DMUs are considered. |
| orientation | A string, equal to "io" (input-oriented) or "oo" (output-oriented). |
| rts | A string, determining the type of returns to scale, equal to "crs" (constant), "vrs" (variable), "nirs" (non-increasing), "ndrs" (non-decreasing) or "grs" (generalized). |
| L | Lower bound for the generalized returns to scale (grs). |
| U | Upper bound for the generalized returns to scale (grs). |
| maxslack | Logical. If it is TRUE, it computes the max slack solution. |
| weight_slack | If input-oriented, it is a value, vector of length s, or matrix s x ne (where ne is the length of dmu_eval) with the weights of the output slacks for the max slack solution. If output-oriented, it is a value, vector of length m, or matrix m x ne with the weights of the input slacks for the max slack solution. |
| compute_target | Logical. If it is TRUE, it computes targets of the max slack solution. |
| returnlp | Logical. If it is TRUE, it returns the linear problems (objective function and constraints) of stage 1. |
| ... | Ignored, for compatibility issues. |

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Färe, R.; Lovell, C.K. (1978). "Measuring the Technical Efficiency of Production", Journal of Economic Theory, 19(1), 150-162. doi: 10.1016/00220531(78)900601

Wh, J.; Tsai, H.; Zhou, Z. (2011). "Improving Efficiency in International Tourist Hotels in Taipei Using a Non-Radial DEA Model", International Journal of Contemporary Hospitatlity Management, 23(1), 66-83. doi: 10.1108/09596111111101670

Zhu, J. (1996). "Data Envelopment Analysis with Preference Structure", The Journal of the Operational Research Society, 47(1), 136. doi: 10.2307/2584258

### See Also

model_deaps, model_profit, model_sbmeff

## Examples

```
# Replication of results in Wu, Tsai and Zhou (2011)
data("Hotels")
data_hotels <- read_data(Hotels,
                         inputs = 2:5,
                         outputs = 6:8)
result <- model_nonradial(data_hotels,
                          orientation = "oo",
                          rts = "vrs")
efficiencies(result)
```

---

model_profit                    *Profit efficiency DEA model.*

---

## Description

Cost, revenue and profit efficiency DEA models.

## Usage

```
model_profit(datadea,
             dmu_eval = NULL,
             dmu_ref = NULL,
             price_input = NULL,
             price_output = NULL,
             rts = c("crs", "vrs", "nirs", "ndrs", "grs"),
             L = 1,
             U = 1,
             restricted_optimal = TRUE,
             returnlp = FALSE,
             ...)
```

## Arguments

| | |
|---|---|
| datadea | The data, including n DMUs, m inputs and s outputs. |
| dmu_eval | A numeric vector containing which DMUs have to be evaluated. If NULL (default), all DMUs are considered. |
| dmu_ref | A numeric vector containing which DMUs are the evaluation reference set. If NULL (default), all DMUs are considered. |
| price_input | Unit prices of inputs for cost or profit efficiency models. It is a value, vector of length m, or matrix m x ne (where ne is the lenght of dmu_eval). |
| price_output | Unit prices of outputs for revenue or profit efficiency models. It is a value, vector of length s, or matrix s x ne. |
| rts | A string, determining the type of returns to scale, equal to "crs" (constant), "vrs" (variable), "nirs" (non-increasing), "ndrs" (non-decreasing) or "grs" (generalized). |

| L | Lower bound for the generalized returns to scale (grs). |
| --- | --- |
| U | Upper bound for the generalized returns to scale (grs). |
| restricted_optimal | |
| | Logical. If it is TRUE, the optimal inputs are restricted to be <= inputs (for cost efficiency models) or the optimal outputs are restricted to be >= outputs (for revenue efficiency models). |
| returnlp | Logical. If it is TRUE, it returns the linear problems (objective function and constraints) of stage 1. |
| ... | Ignored, for compatibility issues. |

## Author(s)

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## References

Coelli, T.; Prasada Rao, D.S.; Battese, G.E. (1998). An introduction to efficiency and productivity analysis. Jossey-Bass, San Francisco, pp 73–104. doi: 10.1002/ev.1441

Coelli, T.; Prasada Rao, D.S.; Battese, G.E. An introduction to efficiency and productivity analysis. Boston: Kluwer Academic Publishers.

## See Also

model_deaps, model_nonradial, model_sbmeff

## Examples

```
# Example 1. Replication of results in Coelli et al. (1998, p.166).
# Cost efficiency model.
data("Coelli_1998")
# Selection of prices: input_prices is the transpose where the prices for inputs are.
input_prices <- t(Coelli_1998[, 5:6])

data_example1 <- read_data(Coelli_1998,
                           ni = 2,
                           no = 1)
result1 <- model_profit(data_example1,
                        price_input = input_prices,
                        rts = "crs",
                        restricted_optimal = FALSE)
# notice that the option by default is restricted_optimal = TRUE
efficiencies(result1)

# Example 2. Revenue efficiency model.
```

```
data("Coelli_1998")
# Selection of prices for output: output_prices is the transpose where the prices for outputs are.
output_prices <- t(Coelli_1998[, 7])
data_example2 <- read_data(Coelli_1998,
                           ni = 2,
                           no = 1)
result2 <- model_profit(data_example2,
                        price_output = output_prices,
                        rts = "crs",
                        restricted_optimal = FALSE)
# notice that the option by default is restricted_optimal = TRUE
efficiencies(result2)

# Example 3. Profit efficiency model.
data("Coelli_1998")
# Selection of prices for inputs and outputs: input_prices and output_prices are
# the transpose where the prices (for inputs and outputs) are.
input_prices <- t(Coelli_1998[, 5:6])
output_prices <- t(Coelli_1998[, 7])
data_example3 <- read_data(Coelli_1998,
                           ni = 2,
                           no = 1)
result3 <- model_profit(data_example3,
                        price_input = input_prices,
                        price_output = output_prices,
                        rts = "crs",
                        restricted_optimal = FALSE)
# notice that the option by default is restricted_optimal = TRUE
efficiencies(result3)
```

---

model_rdm                          *Range directional model.*

---

### Description

Range directional model from Portela et al. (2004).

### Usage

```
model_rdm(datadea,
          dmu_eval = NULL,
          dmu_ref = NULL,
          orientation = c("no", "io", "oo"),
          irdm = FALSE,
          maxslack = TRUE,
          weight_slack_i = 1,
          weight_slack_o = 1,
          compute_target = TRUE,
          returnlp = FALSE,
          ...)
```

### Arguments

| | |
|---|---|
| datadea | The data, including n DMUs, m inputs and s outputs. |
| dmu_eval | A numeric vector containing which DMUs have to be evaluated. If NULL (default), all DMUs are considered. |
| dmu_ref | A numeric vector containing which DMUs are the evaluation reference set. If NULL (default), all DMUs are considered. |
| orientation | A string, equal to "no" (non-oriented), "io" (input oriented), or "oo" (output oriented). |
| irdm | Logical. If it is TRUE, it applies the IRDM (inverse range directional model). |
| maxslack | Logical. If it is TRUE, it computes the max slack solution. |
| weight_slack_i | A value, vector of length m, or matrix m x ne (where ne is the length of dmu_eval) with the weights of the input slacks for the max slack solution. |
| weight_slack_o | A value, vector of length s, or matrix s x ne (where ne is the length of dmu_eval) with the weights of the output slacks for the max slack solution. |
| compute_target | Logical. If it is TRUE, it computes targets of the max slack solution. |
| returnlp | Logical. If it is TRUE, it returns the linear problems (objective function and constraints) of stage 1. |
| ... | Ignored, for compatibility issues. |

### Author(s)

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University of Valencia (Spain)

### References

Portela, M.; Thanassoulis, E.; Simpson, G. (2004). "Negative data in DEA: a directional distance approach applied to bank branches", Journal of the Operational Research Society, 55 1111-1121.

---

| | |
|---|---|
| model_sbmeff | *Slack based measure (SBM) of efficiency model.* |

---

### Description

Calculate the SBM model proposed by Tone (2001).

**Usage**

```
model_sbmeff(datadea,
              dmu_eval = NULL,
              dmu_ref = NULL,
              weight_input = 1,
              weight_output = 1,
              orientation = c("no", "io", "oo"),
              rts = c("crs", "vrs", "nirs", "ndrs", "grs"),
              L = 1,
              U = 1,
              kaizen = FALSE,
              maxfr = NULL,
              tol = 1e-6,
              silent = FALSE,
              compute_target = TRUE,
              returnlp = FALSE,
              ...)
```

**Arguments**

| | |
|---|---|
| datadea | The data, including n DMUs, m inputs and s outputs. |
| dmu_eval | A numeric vector containing which DMUs have to be evaluated. If NULL (default), all DMUs are considered. |
| dmu_ref | A numeric vector containing which DMUs are the evaluation reference set. If NULL (default), all DMUs are considered. |
| weight_input | A value, vector of length m, or matrix m x ne (where ne is the length of dmu_eval) with weights to inputs corresponding to the relative importance of items. |
| weight_output | A value, vector of length m, or matrix m x ne (where ne is the length of dmu_eval) with weights to outputs corresponding to the relative importance of items. |
| orientation | A string, equal to "no" (non-oriented), "io" (input-oriented) or "oo" (output-oriented). |
| rts | A string, determining the type of returns to scale, equal to "crs" (constant), "vrs" (variable), "nirs" (non-increasing), "ndrs" (non-decreasing) or "grs" (generalized). |
| L | Lower bound for the generalized returns to scale (grs). |
| U | Upper bound for the generalized returns to scale (grs). |
| kaizen | Logical. If TRUE, the kaizen version of SBM (Tone 2010), also known as SBM-Max, is computed. |
| maxfr | A list with the maximal friends sets, as it is returned by function maximal_friends. If NULL (default) this list is computed internally. |
| tol | Numeric, a tolerance margin for checking efficiency (only for the kaizen version). |
| silent | Logical. If FALSE (default) it prints all the messages from function maximal_friends. |
| compute_target | Logical. If it is TRUE, it computes targets. |

| | |
|---|---|
| returnlp | Logical. If it is TRUE, it returns the linear problems (objective function and constraints). If kaizen is TRUE it is ignored. |
| ... | Other options (currently not implemented) |

## Author(s)

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## References

Tone, K. (2001). "A Slacks-Based Measure of Efficiency in Data Envelopment Analysis", European Journal of Operational Research, 130, 498-509. doi: 10.1016/S03772217(99)004075

Tone, K. (2010). "Variations on the theme of slacks-based measure of efficiency in DEA", European Journal of Operational Research, 200, 901-907. doi: 10.1016/j.ejor.2009.01.027

Cooper, W.W.; Seiford, L.M.; Tone, K. (2007). Data Envelopment Analysis. A Comprehensive Text with Models, Applications, References and DEA-Solver Software. 2nd Edition. Springer, New York. doi: 10.1007/9780387452838

## See Also

model_nonradial, model_deaps, model_profit, model_sbmsupereff

## Examples

```
# Replication of results in Tone (2001, p.505)
data("Tone2001")
data_example <- read_data(Tone2001,
                          ni = 2,
                          no = 2)
result_SBM <- model_sbmeff(data_example,
                           orientation = "no",
                           rts = "crs")
result_CCR <- model_basic(data_example,
                          orientation = "io",
                          rts = "crs")
efficiencies(result_SBM)
efficiencies(result_CCR)
slacks(result_SBM)
slacks(result_CCR)

# Example. Replication of results in Tone (2003), pp 10-11 case 1:1.
data("Tone2003")
data_example <- read_data(Tone2003,
                          ni = 1,
                          no = 2,
```

```
                                      ud_outputs = 2)
result <- model_sbmeff(data_example,
                       rts = "vrs")
efficiencies(result)
targets(result)
```

---

model_sbmsupereff          *Slack based measure of superefficiency model*

---

### Description

Slack based measure of superefficiency model (Tone 2002) with n DMUs, m inputs, s outputs...

### Usage

```
model_sbmsupereff(datadea,
                  dmu_eval = NULL,
                  dmu_ref = NULL,
                  weight_input = 1,
                  weight_output = 1,
                  orientation = c("no", "io", "oo"),
                  rts = c("crs", "vrs", "nirs", "ndrs", "grs"),
                  L = 1,
                  U = 1,
                  compute_target = TRUE,
                  compute_rho = FALSE,
                  kaizen = FALSE,
                  silent = FALSE,
                  returnlp = FALSE)
```

### Arguments

| | |
|---|---|
| datadea | The data, including DMUs, inputs and outputs. |
| dmu_eval | A numeric vector containing which DMUs have to be evaluated. If NULL (default), all DMUs are considered. |
| dmu_ref | A numeric vector containing which DMUs are the evaluation reference set. If NULL (default), all DMUs are considered. |
| weight_input | A value, vector of length m, or matrix m x ne (where ne is the length of dmu_eval) with weights to inputs corresponding to the relative importance of items. |
| weight_output | A value, vector of length m, or matrix m x ne (where ne is the length of dmu_eval) with weights to outputs corresponding to the relative importance of items. |
| orientation | A string, equal to "no" (non-oriented), "io" (input-oriented) or "oo" (output-oriented). |

| | |
|---|---|
| rts | A string, determining the type of returns to scale, equal to "crs" (constant), "vrs" (variable), "nirs" (non-increasing), "ndrs" (non-decreasing) or "grs" (generalized). |
| L | Lower bound for the generalized returns to scale (grs). |
| U | Upper bound for the generalized returns to scale (grs). |
| compute_target | Logical. If it is TRUE, it computes targets, superslacks (t_input and t_output) and slacks. |
| compute_rho | Logical. If it is TRUE, it computes the SBM efficiency score (applying model_sbmeff) of the DMU (project_input, project_output). |
| kaizen | Logical. If TRUE, the kaizen version of SBM (Tone 2010), also known as SBM-Max, is computed for the efficiency score of the DMU (project_input, project_output). |
| silent | Logical. If FALSE (default) it prints all the messages from function maximal_friends. |
| returnlp | Logical. If it is TRUE, it returns the linear problems (objective function and constraints). |

## Author(s)

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## References

Tone, K. (2002). "A slacks-based measure of super-efficiency in data envelopment analysis", European Journal of Operational Research, 143, 32-41. doi: 10.1016/S03772217(01)003241

Tone, K. (2010). "Variations on the theme of slacks-based measure of efficiency in DEA", European Journal of Operational Research, 200, 901-907. doi: 10.1016/j.ejor.2009.01.027

Cooper, W.W.; Seiford, L.M.; Tone, K. (2007). Data Envelopment Analysis. A Comprehensive Text with Models, Applications, References and DEA-Solver Software. 2nd Edition. Springer, New York. doi: 10.1007/9780387452838

## See Also

[model_sbmeff](model_sbmeff), [model_supereff](model_supereff), [model_addsupereff](model_addsupereff)

## Examples

```
# Replication of results in Tone(2002, p.39)
data("Power_plants")
data_example <- read_data(Power_plants,
                          ni = 4,
                          no = 2)
result <- model_sbmsupereff(data_example,
                            orientation = "io",
```

```
                                rts = "crs")
efficiencies(result)
slacks(result)$slack_input
references(result)
```

---

model_supereff                 *Radial superefficiency basic DEA model*

---

## Description

Solve Andersen and Petersen radial Super-efficiency DEA model.

## Usage

```
model_supereff(datadea,
               dmu_eval = NULL,
               dmu_ref = NULL,
               supereff_modelname = c("basic"),
               ...)
```

## Arguments

datadea            The data, including DMUs, inputs and outputs.

dmu_eval           A numeric vector containing which DMUs have to be evaluated. If NULL (de-
                   fault), all DMUs are considered.

dmu_ref            A numeric vector containing which DMUs are the evaluation reference set. If
                   NULL (default), all DMUs are considered.

supereff_modelname

                   A string containing the name of the radial model to apply super-efficiency.

...                orientation, rts and other model parameters.

## Note

(1) Radial super-efficiency model under variable (vrs, nirs, ndrs, grs) returns to scale can be infea-
sible for certain DMUs. See example 2.

(2) DMUs with infeasible solution are not shown in the results.

## Author(s)

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## References

Andersen, P.; Petersen, N.C. (1993). "A procedure for ranking efficient units in data envelopment analysis", Management Science, 39, 1261-1264.

Tone, K. (2002). "A slacks-based measure of super-efficiency in data envelopment analysis", European Journal of Operational Research, 143, 32-41.

## See Also

model_basic, model_sbmsupereff, model_addsupereff

## Examples

```
# Example 1.
# Replication of results in Tone (2002, p.38)
data("Power_plants")
data_example <- read_data(Power_plants,
                          ni = 4,
                          no = 2)
result <- model_supereff(data_example,
                         orientation = "io",
                         rts = "crs")
eff <- efficiencies(result)

# Example 2.
# Results of Super-efficiency with vrs returns to scale show infeasibility solutions
# for DMUs D4 and D6 (these DMUs are not shown in deaR results).
data("Power_plants")
data_example2 <- read_data(Power_plants,
                           ni = 4,
                           no = 2)
result2 <- model_supereff(data_example2,
                          orientation = "io",
                          rts = "vrs")
eff2 <- efficiencies(result2)
```

| multipliers | *Multipliers* |
|---|---|

## Description

Extract the multipliers of the DMUs from a dea or dea_fuzzy solution.

## Usage

```
multipliers(deasol)
```

## Arguments

deasol          Object of class dea or dea_fuzzy obtained with some of the dea model functions.

## Author(s)

**Vicente Coll-Serrano** (<vicente.coll@uv.es>). *Quantitative Methods for Measuring Culture (MC2). Applied Economics.*

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## Examples

```
data("Coll_Blasco_2006")
data_example <- read_data(Coll_Blasco_2006,
                          ni = 2,
                          no = 2)
result <- model_multiplier(data_example,
                           orientation = "io",
                           rts = "crs")
multipliers(result)
```

---

PFT1981                          *Data: Charnes, Cooper and Rhodes (1981).*

---

## Description

Data from Project Follow Through (PTF) in public school education. There are 49 DMUs (school sites) in PFT and 21 DMUs in Non-Follow Through (NFT). Authors consider 3 outputs (Y) and 5 inputs (X).

## Usage

```
data("PFT1981")
```

## Format

Data frame with 70 rows and 10 columns. Definition of inputs (X) and outputs (Y):

**Y1 = Reading** Total Reading Scores (as measured by the Metropolitan Achievement Test).

**Y2 = Math** Total Math Scores (total mathematics score by the Metropolitan Achievement Test.

**Y3 = Coopersmith** Total Coopersmith Scores (Coopersmith self-esteem inventory, intended as a measure of self-esteem).

**X1 = Education** Education level of mother (as measured in terms of percentage of high school graduates among female parents).

**X2 = Occupation** Occupation Index (highest occupation of a family member according to a pre-arranged rating scale).

**X3 = Parental** Parental Visit Index (representing the number of visits to the school site).

**X4 = Counseling** Counseling Index (parent counselling index calculated from data on time spent with child on school-related topics such as reading together, etc.).

**X5 = Teachers** Number of Teachers (number of teachers at a given site).

**Progrmam** PFT or NFT.

### Author(s)

**Vicente Coll-Serrano** (<vicente.coll@uv.es>). *Quantitative Methods for Measuring Culture (MC2). Applied Economics.*

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### Source

Charnes, A.; Cooper, W.W.; Rhodes, E. (1981). "Evaluating Program and Managerial Efficiency: An Application of Data Envelopment Analysis to Program Follow Through", Management Science, 27(6), 668-697. doi: 10.1287/mnsc.27.6.668

### See Also

read_data, model_basic

### Examples

```
# Example 1. Replication of results in Charnes, Cooper and Rhodes (1981)
data("PFT1981")
# selecting DMUs in Project Follow Through (PFT)
PFT <- PFT1981[1:49,]
PFT <- read_data(PFT,
                 dmus=1,
                 inputs=2:6,
                 outputs=7:9 )
eval_pft <- model_basic(PFT,
                        orientation="io",
                        rts="crs")
eff_pft <- efficiencies(eval_pft)

# Example 2. Replication of results in Charnes, Cooper and Rhodes (1981)
data("PFT1981")
# selecting DMUs in Non-Follow Through (NFT)
NFT <- PFT1981[50:70,]
NFT <- read_data(NFT,
                 dmus=1,
                 inputs=2:6,
                 outputs=7:9 )
```

```
eval_nft <- model_basic(NFT,
                        orientation="io",
                        rts="crs")
eff_nft <- efficiencies(eval_nft)
```

---

plot.dea                              *Plot for DEA models.*

---

## Description

Plot some attribute of a DEA model (conventional, fuzzy or Malmquist).

## Usage

```
## S3 method for class 'dea'
plot(x, showPlots = TRUE, ...)
```

## Arguments

x              An object of class "dea" obtained by a dea model function.

showPlots      Logical. When TRUE (default) the plots are shown one by one. When it is
               FALSE the plots are not shown and are returned by the function (invisiblily) as
               a list.

...            Ignored, for compatibility issues.

## Value

Depending on the model it returns a single data.frame containing: efficiencies, slacks, lambdas, targets, references or a list of data.frames with the cross-efficiencies computed with different methods (Arbitrary, Method II or Method III (see CITA)) or, in case the model is a malmquist index, a single data.frame with the coefficients for the different periods.

## Author(s)

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## References

#' Zhu, J. (2014). Quantitative Models for Performance Evaluation and Benchmarking. Data Envelopment Analysis with Spreadsheets. 3rd Edition Springer, New York. DOI: 10.1007/978-3-319-06647-9

## Examples

```
data_example <- read_data(datadea = Fortune500,
                          inputs = 2:4,
                          outputs = 5:6)
result <- model_basic(data_example)
plot(result)
```

---

plot.dea_fuzzy *Plot for Fuzzy DEA models.*

---

## Description

Plot some attribute of a Fuzzy DEA model (Guo-Tanaka and Kao-Liu models).

## Usage

```
## S3 method for class 'dea_fuzzy'
plot(x, showPlots = TRUE, ...)
```

## Arguments

| | |
|---|---|
| x | An object of class "dea_fuzzy" obtained by a fuzzy dea model function. |
| showPlots | Logical. When TRUE (default) the plots are shown one by one. When it is FALSE the plots are not shown and are returned by the function (invisiblily) as a list. |
| ... | Ignored, for compatibility issues. |

## Value

Depending on the model it returns ...

## Author(s)

**Vicente Coll-Serrano** (<vicente.coll@uv.es>). *Quantitative Methods for Measuring Culture (MC2). Applied Economics.*

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## References

#' Zhu, J. (2014). Quantitative Models for Performance Evaluation and Benchmarking. Data Envelopment Analysis with Spreadsheets. 3rd Edition Springer, New York. DOI: 10.1007/978-3-319-06647-9

---

| | |
|---|---|
| Power_plants | *Data: Tone (2002).* |

---

#### Description

This dataset consists of six power plants with 4 inputs (X) and 2 outputs (Y).

#### Usage

```
data("Power_plants")
```

#### Format

Data frame with 15 rows and 7 columns. Definition of inputs (X) and outputs (Y):

**x1** Manpower requiered

**x2** Construction costs in millions of dollars

**x3** Annual maintenance costs in millions of dollars

**x4** Number of villages to be evacuated

**y1** Power generated in megawatts

**y2** Safety level

#### Author(s)

**Vicente Coll-Serrano** (<vicente.coll@uv.es>). *Quantitative Methods for Measuring Culture (MC2). Applied Economics.*

**Vicente Bolos** (<vicente.bolos@uv.es>). *Department of Business Mathematics*

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#### Source

Andersen, P.; Petersen, N.C. (1993). "A procedure for ranking efficient units in data envelopment analysis", Management Science, 39, 1261-1264.

Doyle, J. and Green R. (1993). "Data envelopment analysis and multiple criteria decision making", Omega, 21 (6), 713-715. doi: 10.1016/0305-0483(93)90013-B

Tone, K. (2002). "A slacks-based measure of super-efficiency in data envelopment analysis", European Journal of Operational Research, 143, 32-41. doi: 10.1016/S0377-2217(01)00324-1

#### See Also

read_data, model_supereff, model_sbmsupereff

## Examples

```
# Example 1. Radial super-efficiency model.
# Replication of results in Tone (2002)
data("Power_plants")
data_example <- read_data(Power_plants,
                          ni = 4,
                          no = 2)
result <- model_supereff(data_example,
                         orientation="io",
                         rts="crs")
eff <- efficiencies(result)
eff

# Example 2. SBM super-efficiency model.
data("Power_plants")
data_example <- read_data(Power_plants,
                          ni = 4,
                          no = 2)
result2 <- model_sbmsupereff(data_example,
                             orientation="io",
                             rts="crs")
efficiencies(result2)
slacks(result2)$input
references(result2)
```

---

read_data *read_data*

---

## Description

This function creates, from a data frame, a deadata structure, which is as list with fields input, output, dmunames, nc_inputs, nc_outputs, nd_inputs, nd_outputs.

## Usage

```
read_data(datadea = NULL,
          ni = NULL,
          no = NULL,
          dmus = 1,
          inputs = NULL,
          outputs = NULL,
          nc_inputs = NULL,
          nc_outputs = NULL,
          nd_inputs = NULL,
          nd_outputs = NULL,
          ud_inputs = NULL,
          ud_outputs = NULL)
```

## Arguments

| | |
|---|---|
| datadea | Data frame with DEA data. |
| ni | Number of inputs, if inputs are in columns 2:(ni + 1) (if DMUs are in the first column) or 1:ni (no DMUs column). |
| no | Number of outputs, if outputs are in columns (ni + 2):(ni + no + 1) (if DMUs are in the first column) or (ni + 1):(ni + no) (no DMUs column). If not specified, DMUs are in the first column. |
| dmus | Column (number or name) of DMUs (optional). By default, it is the first column. If there is not any DMU column, then it must be NULL. |
| inputs | Columns (numbers or names) of inputs (optional). It prevails over ni. Alternatively to datadea, it can be a matrix with the inputs (DMUs in columns). In this case, DMUs names are taken from the columns names. |
| outputs | Columns (numbers or names) of outputs (optional). It prevails over no. Alternatively to datadea, it can be a matrix with the outputs (DMUs in columns). |
| nc_inputs | A numeric vector containing the indices of non-controllable inputs. |
| nc_outputs | A numeric vector containing the indices of non-controllable outputs. |
| nd_inputs | A numeric vector containing the indices of non-discretionary inputs. |
| nd_outputs | A numeric vector containing the indices of non-discretionary outputs. |
| ud_inputs | A numeric vector containing the indices of undesirable (good) inputs. |
| ud_outputs | A numeric vector containing the indices of undesirable (bad) outputs. |

## Value

An object of class deadata

## Author(s)

**Vicente Coll-Serrano** (<vicente.coll@uv.es>). *Quantitative Methods for Measuring Culture (MC2). Applied Economics.*

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University of Valencia (Spain)

## Examples

```
data("Coll_Blasco_2006")
data_example <- read_data(datadea = Coll_Blasco_2006,
                          ni = 2,
                          no = 2)
# This is the same as:
data_example <- read_data(Coll_Blasco_2006,
                          inputs = 2:3,
                          outputs = 4:5)
# And the same as:
dmunames <- c("A", "B", "C", "D", "E", "F")
```

```
nd <- length(dmunames) # Number of DMUs
inputnames <- c("Employees", "Capital")
ni <- length(inputnames) # Number of Inputs
outputnames <- c("Vehicles", "Orders")
no <- length(outputnames) # Number of Outputs
inputs <- matrix(c(8, 8, 11, 15, 14, 12, 12, 13, 11, 18, 18, 20),
                 nrow = ni, ncol = nd, dimnames = list(inputnames, dmunames))
outputs <- matrix(c(14, 20, 25, 42, 8, 30, 25, 8, 40, 22, 24, 30),
                  nrow = no, ncol = nd, dimnames = list(outputnames, dmunames))
data_example <- read_data(inputs = inputs,
                          outputs = outputs)
# If the first input is a non-controllable input:
data_example <- read_data(Coll_Blasco_2006,
                          inputs = 2:3,
                          outputs = 4:5,
                          nc_inputs = 1)
# If the second output is a non-discretionary output:
data_example <- read_data(Coll_Blasco_2006,
                          inputs = 2:3,
                          outputs = 4:5,
                          nd_outputs = 2)
# If the second input is a non-discretionary input and the second output is an undesirable:
data_example <- read_data(Coll_Blasco_2006,
                          inputs = 2:3,
                          outputs = 4:5,
                          nd_inputs = 2,
                          ud_outputs = 2)
```

---

read_data_fuzzy *read_data_fuzzy*

---

## Description

This function creates, from a data frame, a `deadata_fuzzy` structure, which is as list with fields `input`, `output` and `dmunames`. At the same time, `input` and `output` are lists with fields `mL`, `mR`, `dL` and `dR`. " alt" alt

## Usage

```
read_data_fuzzy(datadea,
                dmus = 1,
                inputs.mL = NULL,
                inputs.mR = NULL,
                inputs.dL = NULL,
                inputs.dR = NULL,
                outputs.mL = NULL,
                outputs.mR = NULL,
                outputs.dL = NULL,
```

```
                    outputs.dR = NULL,
                    nc_inputs = NULL,
                    nc_outputs = NULL,
                    nd_inputs = NULL,
                    nd_outputs = NULL,
                    ud_inputs = NULL,
                    ud_outputs = NULL)
```

### Arguments

| | |
|---|---|
| datadea | Data frame with DEA data. |
| dmus | Column (number or name) of DMUs (optional). By default, it is the first column. If there is not any DMU column, then it must be NULL. |
| inputs.mL | Where are (columns) the mL (left centers) of trapezoidal fuzzy inputs in datadea. If an input is triangular or crisp, we put the column where the centers or the crisp values are, respectively. |
| | Alternatively to datadea, inputs.mL can be a matrix of size (number of inputs x number of DMUs) with the mL of trapezoidal fuzzy inputs, the centers of triangular inputs, and the crisp values of crisp inputs. In this case, DMUs names are taken from the columns names. |
| inputs.mR | Where are (columns) the mR (right centers) of trapezoidal fuzzy inputs in datadea. If an input is triangular or crisp, we put NA. |
| | Alternatively to datadea, inputs.mR can be a matrix of size (number of inputs x number of DMUs) with the mR of trapezoidal fuzzy inputs, the centers of triangular inputs, and the crisp values of crisp inputs. If all inputs are triangular or crisp, then inputs.mR must be NULL (default) or equal to inputs.mL. |
| inputs.dL | Where are (columns) the dL (left radii) of trapezoidal and triangular fuzzy inputs in datadea. If an input is symmetric, we put the column where the radii are. If an input is rectangular or crisp, we put NA. |
| | Alternatively to datadea, inputs.dL can be a matrix of size (number of inputs x number of DMUs) with the dL of trapezoidal and triangular fuzzy inputs. If an input is rectangular or crisp, its radius is zero. If all inputs are rectangular or crisp, then inputs.dL must be NULL (default) or a zero matrix. |
| inputs.dR | Where are (columns) the dR (right radii) of trapezoidal and triangular fuzzy inputs in datadea. If an input is symmetric, rectangular or crisp, we put NA. |
| | Alternatively to datadea, inputs.dR can be a matrix of size (number of inputs x number of DMUs) with the dR of trapezoidal and triangular fuzzy inputs. If an input is rectangular or crisp, its radius is zero. If all inputs are symmetric, rectangular or crisp, then inputs.dR must be NULL (default) or equal to inputs.dL. |
| outputs.mL | Analogous to inputs.mL, but relating to outputs. |
| outputs.mR | Analogous to inputs.mR, but relating to outputs. |
| outputs.dL | Analogous to inputs.dL, but relating to outputs. |
| outputs.dR | Analogous to inputs.dR, but relating to outputs. |
| nc_inputs | A numeric vector containing the indices of non-controllable inputs. |

| nc_outputs | A numeric vector containing the indices of non-controllable outputs. |
| nd_inputs | A numeric vector containing the indices of non-discretionary inputs. |
| nd_outputs | A numeric vector containing the indices of non-discretionary outputs. |
| ud_inputs | A numeric vector containing the indices of undesirable (good) inputs. |
| ud_outputs | A numeric vector containing the indices of undesirable (bad) outputs. |

## Value

An object of class deadata_fuzzy.

## Examples

```
# Example 1. If inputs and/or outputs are symmetric triangular fuzzy numbers
data("Leon2003")
data_example <- read_data_fuzzy(datadea = Leon2003,
                                inputs.mL = 2,
                                inputs.dL = 3,
                                outputs.mL = 4,
                                outputs.dL = 5)
# Example 2. If inputs and/or outputs are non-symmetric triangular fuzzy numbers
data("Kao_Liu_2003")
data_example <- read_data_fuzzy(Kao_Liu_2003,
                                inputs.mL = 2,
                                outputs.mL = 3:7,
                                outputs.dL = c(NA, NA, 8, NA, 10),
                                outputs.dR = c(NA, NA, 9, NA, 11))
```

---

read_malmquist *read_malmquist*

---

## Description

This function creates, from a data frame, a list deadata.

## Usage

```
read_malmquist(datadea,
               nper = NULL,
               percol = NULL,
               arrangement  = c("horizontal", "vertical"),
               ...)
```

## Arguments

| | |
|---|---|
| datadea | Dataframe with DEA data. |
| nper | Number of time periods (with dataset in wide format). |
| percol | Column of time period (with dataset in long format). |
| arrangement | Horizontal with data in wide format. Vertical with data in long format. |
| ... | Other options to be passed to the read_data function |

## Value

An object of class deadata

## Author(s)

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University of Valencia (Spain)

## Examples

```
# Example 1. If you have a dataset in wide format.
data("Economy")
data_example <- read_malmquist(datadea = Economy,
                               nper = 5,
                               arrangement = "horizontal",
                               ni = 2,
                               no = 1)
# This is the same as:
data_example <- read_malmquist(datadea = Economy,
                               nper = 5,
                               arrangement = "horizontal",
                               inputs = 2:3,
                               outputs = 4)
# Example 2. If you have a dataset in long format.
data("EconomyLong")
data_example2 <- read_malmquist(EconomyLong,
                                percol = 2,
                                arrangement = "vertical",
                                inputs = 3:4,
                                outputs = 5)
```

---

references *References*

---

## Description

Extract the reference set for each DMU (inefficient DMUs and efficient DMUs that are combination of other efficient DMUs) from a DEA model solution.

## Usage

```
references(deasol,
           thr = 1e-4)
```

## Arguments

deasol          Object of class dea obtained with some of the DEA model functions.

thr             Tolerance threshold (for avoiding missdetection of efficient DMUs due to round-
                off errors)

## Author(s)

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## Examples

```
# Replication results model DEA1 in Tomkins and Green (1988).
data("Departments")
# Calculate Total income
Departments$Total_income <- Departments[, 5] + Departments[, 6]+Departments[, 7]
data_DEA1 <- read_data(Departments,
                       inputs = 9,
                       outputs = c(2, 3, 4, 12))
result <- model_basic(data_DEA1,
                      orientation = "io",
                      rts = "crs")
references(result) # Table 3 (p.157)
```

---

rts                              *RTS*

---

### Description

Extract the returns to scale.

### Usage

```
rts(deamodel,
    thr = 1e-4)
```

### Arguments

| | |
|---|---|
| deamodel | Object of class dea obtained with some of the dea functions. |
| thr | Threshold for the tolerance for considering something = 1. Defults to 1e-4. |

### Author(s)

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### Examples

```
 data("Coll_Blasco_2006")
 data_example <- read_data(Coll_Blasco_2006,
                           ni = 2,
                           no = 2)
 result <- model_basic(data_example,
                       orientation = "io",
                       rts ="crs")
 rts(result)
```

---

Ruggiero2007          *Data: Ruggiero (2007).*

---

### Description

Simulated data of 35 DMUs with two inputs and one output.

### Usage

```
data("Ruggiero2007")
```

### Format

Data frame with 35 rows and 4 columns. Definition of inputs (X) and outputs (Y):

**x1** Input 1

**x2** Input 2

**y1** Output 1

### Author(s)

**Vicente Coll-Serrano** (<vicente.coll@uv.es>). *Quantitative Methods for Measuring Culture (MC2). Applied Economics.*

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### Source

Ruggiero J. (2007). Non-Discretionary Inputs. In: Zhu J., Cook W.D. (eds) Modeling Data Irregularities and Structural Complexities in Data Envelopment Analysis. Springer, Boston, MA. doi: 10.1007/978-0-387-71607-7_5

### See Also

[read_data](), [model_basic]()

### Examples

```
# Example. Replication of results in Ruggiero (2007).
data("Ruggiero2007")
# the second input is a non-discretionary input
datadea <- read_data(Ruggiero2007,
                     ni=2,
                     no=1,
                     nd_inputs=2)
result <- model_basic(datadea,
```

```
                              orientation="io",
                              rts="crs")
efficiencies(result)
slacks(result)
```

---

slacks                          *Slacks*

---

### Description

Extract the slacks of the DMUs from a dea or `dea_fuzzy` solution.

### Usage

```
slacks(deasol)
```

### Arguments

deasol          Object of class dea or `dea_fuzzy` obtained with some of the DEA model func-
                tions.

### Author(s)

**Vicente Coll-Serrano** (<vicente.coll@uv.es>). *Quantitative Methods for Measuring Culture (MC2). Applied Economics.*

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### Examples

```
data("Coll_Blasco_2006")
data_example <- read_data(Coll_Blasco_2006,
                          ni = 2,
                          no = 2)
result <- model_multiplier(data_example,
                            orientation = "io",
                            rts = "crs")
slacks(result)
```

---

summary.dea                    *Summary conventional DEA models.*

---

### Description

Summary of the results obtained by a conventiona DEA model.

### Usage

```
## S3 method for class 'dea'
summary(object, exportExcel = TRUE, filename = NULL, returnList = FALSE, ...)
```

### Arguments

| | |
|---|---|
| object | An object of class "dea" obtained by a dea model function. |
| exportExcel | Logical value. If TRUE (default) the results are also exported to an Excel file |
| filename | Character string. Absolute filename (including path) of the exported Excel file. If NULL, then the name of the file will be "ResultsDEA"+timestamp.xlsx. |
| returnList | Logical value. If TRUE then the results are given as a list of data frames. If FALSE (default) all the data frames are merged into a single data frame. |
| ... | Ignored. Used for compatibility issues. |

### Value

Depending on the model it returns a single data.frame containing: efficiencies, slacks, lambdas, targets, references or a list of data.frames with the cross-efficiencies computed with different methods (Arbitrary, Method II or Method III (see CITA)) or, in case the model is a malmquist index, a single data.frame with the coefficients for the different periods.

### Author(s)

**Vicente Coll-Serrano** (<vicente.coll@uv.es>). *Quantitative Methods for Measuring Culture (MC2). Applied Economics.*

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### References

Charnes, A.; Cooper, W.W.; Rhodes, E. (1981). "Evaluating Program and Managerial Efficiency: An Application of Data Envelopment Analysis to Program Follow Through", Management Science, 27(6), 668-697. https://pubsonline.informs.org/doi/abs/10.1287/mnsc.27.6.668

## Examples

```
data("PFT1981")
# Selecting DMUs in Program Follow Through (PFT)
PFT <- PFT1981[1:49, ]
PFT <- read_data(PFT,
                 inputs = 2:6,
                 outputs = 7:9 )
eval_pft <- model_basic(PFT,
                        orientation = "io",
                        rts = "crs")
summary(eval_pft, exportExcel = FALSE)
```

---

summary.dea_fuzzy          *Summary Fuzzy DEA models.*

---

## Description

Summary of the results obtained by a fuzzy DEA model.

## Usage

```
## S3 method for class 'dea_fuzzy'
summary(object, ..., exportExcel = TRUE, filename = NULL, returnList = FALSE)
```

## Arguments

| | |
|---|---|
| object | An object of class "dea_fuzzy" obtained with a fuzzy dea model function (modelfuzzy_guotanaka, modelfuzzy_kaoliu, modelfuzzy_possibilistic). |
| ... | Extra options |
| exportExcel | Logical value. If TRUE (default) the results are also exported to an Excel file |
| filename | Character string. Absolute filename (including path) of the exported Excel file. If NULL, then the name of the file will be "ResultsDEA"+timestamp.xlsx. |
| returnList | Logical value. If TRUE then the results are given as a list of data frames. If FALSE (default) all the data frames are merged into a single data frame. |

## Value

If the model is that from Guo and Tanaka (modelfuzzy_guotanaka), it returns a data.frame with columns: DMU, alpha cuts and efficiencies. For the possibilistic model (modelfuzzy_possibilistic) it returns a data.frame with columns: DMU, alpha-cuts, efficiencies and the corresponding lambda values For the Kao and Liu model (modelfuzzy_kaoliu), the result may depend on the crisp sub-model used. It will contain a data.frame with the efficiencies (if any), the slacks and superslacks (if any), the lambda values and the targets.

If exportExcel is TRUE, then an Excel file will be created containing as many sheets as necessary depending on the variables returned.

## Author(s)

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## References

León, T.; Liern, V. Ruiz, J.; Sirvent, I. (2003). "A Possibilistic Programming Approach to the Assessment of Efficiency with DEA Models", Fuzzy Sets and Systems, 139, 407–419. doi: 10.1016/S01650114(02)006085

## Examples

```
data("Leon2003")
data_example <- read_data_fuzzy(Leon2003,
                                inputs.mL = 2,
                                inputs.dL = 3,
                                outputs.mL = 4,
                                outputs.dL = 5)
result <- modelfuzzy_possibilistic(data_example,
                                   h = seq(0, 1, by = 0.1),
                                   orientation = "io",
                                   rts = "vrs")
summary(result, exportExcel = FALSE)
```

---

Supply_Chain                  *Data: Sanei and Mamizadeh Chatghayeb (2013).*

---

## Description

Data of 17 supply chain (buyer-supplier relationship in manufacturing).

## Usage

```
data("Supply_Chain")
```

## Format

Data frame with 17 rows and 8 columns. Definition of inputs (X) and outputs (Y):

**X1 to X3** Inputs of buyers

**I1 to I2** Outputs of buyers, Inputs of suppliers

**Y1 to Y2** Outputs of suppliers

## Author(s)

**Vicente Coll-Serrano** (<vicente.coll@uv.es>). *Quantitative Methods for Measuring Culture (MC2). Applied Economics.*

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## Source

Sanei, M.; Mamizadeh Chatghayeb, S. (2013). "Free Disposal Hull Models in Supply Chain Management", International Journal of Mathematical Modelling and Computations, 3(3), 125-129.

## See Also

[read_data](#), [model_fdh](#)

## Examples

```
# Example. FDH input-oriented.
# Replication of results in Sanei and Mamizadeh Chatghayeb (2013)
data("Supply_Chain")
data_fdh1 <- read_data(Supply_Chain,
                       dmus=1,
                       inputs= 2:4,
                       outputs=5:6)
# by default orientation="io"
result <- model_fdh(data_fdh1)
efficiencies(result)
```

---

targets                          *Targets*

---

## Description

Extract the targets of the DMUs from a dea or dea_fuzzy solution.

## Usage

```
targets(deasol)
```

## Arguments

deasol            Object of class dea or dea_fuzzy obtained with some of the DEA model functions.

## Author(s)

**Vicente Coll-Serrano** (`<vicente.coll@uv.es>`). *Quantitative Methods for Measuring Culture (MC2). Applied Economics.*

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## Examples

```
data("Coll_Blasco_2006")
data_example <- read_data(Coll_Blasco_2006,
                          ni = 2,
                          no = 2)
result <- model_multiplier(data_example,
                           orientation = "io",
                           rts = "crs")
targets(result)
```

---

Tone2001 *Data: Tone (2001).*

---

## Description

Data of 5 DMUs producing 2 outputs by using 2 inputs

## Usage

```
data("Tone2001")
```

## Format

Data frame with 5 rows and 5 columns. Definition of inputs (X) and outputs (Y):

**x1** Input1

**x2** Input2

**y1** Output1

**y2** Output2

## Author(s)

**Vicente Coll-Serrano** (`<vicente.coll@uv.es>`). *Quantitative Methods for Measuring Culture (MC2). Applied Economics.*

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University of Valencia (Spain)

## Source

Tone, K. (2001). "A Slacks-Based Measure of Efficiency in Data Envelopment Analysis", European Journal of Operational Research, 130, 498-509. doi: 10.1016/S0377-2217(99)00407-5

## See Also

[read_data](read_data), [model_sbmeff](model_sbmeff)

## Examples

```
# Example. Replication of results in Tone (2001, p. 505)
data("Tone2001")
data_example <- read_data(Tone2001,
                          ni = 2,
                          no = 2)
result <- model_sbmeff(data_example,
                       orientation ="no",
                       rts = "crs")
efficiencies(result)
slacks(result)
```

---

Tone2003                        *Data: Tone (2003).*

---

## Description

Data of 9 DMUs producing 2 outputs, being second ouput undesirable, by using 1 input.

## Usage

```
data("Tone2003")
```

## Format

Data frame with 9 rows and 4 columns. Definition of inputs (X) and outputs (Y):

**x** Input

**yg** Output1 ("good" output)

**yb** Output2 (undesirable "bad" output)

## Author(s)

**Vicente Coll-Serrano** (<vicente.coll@uv.es>). *Quantitative Methods for Measuring Culture (MC2). Applied Economics.*

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## Source

Tone, K. (2003). "Dealing with undesirable outputs in DEA: A Slacks-Based Measure (SBM) approach", GRIPS Research Report Series I-2003-0005.

## See Also

[read_data](), [model_sbmeff]()

## Examples

```
# Example. Replication of results in Tone (2003), pp 10-11.
data("Tone2003")
data_example <- read_data(Tone2003,
                          ni = 1,
                          no = 2,
                          ud_outputs = 2)
result <- model_sbmeff(data_example,
                       rts = "vrs")
efficiencies(result)
targets(result)
```

---

undesirable_basic          *Undesirable inputs and outputs for basic DEA model.*

---

## Description

This function transforms a deadata or deadata_fuzzy class with inputs and outputs into a deadata or deadata_fuzzy class with good inputs and/or outputs, and bad (undesirable) inputs and/or outputs. Onwards, it is recommended to use a dea model with variable returns to scale (vrs).

## Usage

```
undesirable_basic(datadea,
                  vtrans_i = NULL,
                  vtrans_o = NULL)
```

## Arguments

| | |
|---|---|
| datadea | The data, including DMUs, inputs and outputs. |
| vtrans_i | Numeric vector of translation for undesirable inputs. If vtrans_i[i] is NA, then it applies the "max + 1" translation to the i-th undesirable input. If vtrans_i is a constant, then it applies the same translation to all undesirable inputs. If vtrans_i is NULL, then it applies the "max + 1" translation to all undesirable inputs. |
| vtrans_o | Numeric vector of translation for undesirable outputs, analogous to vtrans_i, but applied to outputs. |

## Value

An object of class `deadata` or `deadata_fuzzy`.

## Author(s)

**Vicente Coll-Serrano** (<vicente.coll@uv.es>). *Quantitative Methods for Measuring Culture (MC2). Applied Economics.*

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## Examples

```
data("Hua_Bian_2007")
# The third output is an undesirable output.
data_example <- read_data(Hua_Bian_2007,
                          ni = 2,
                          no = 3,
                          ud_outputs = 3)
# rts must be "vrs" for undesirable inputs/outputs:
# Translation parameter is set to (max + 1)
result <- model_basic(data_example,
                      orientation = "oo",
                      rts = "vrs")
```

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