# Package 'elastes' 

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## Type Package

Title Elastic Full Procrustes Means for Sparse and Irregular Planar Curves

## Version 0.1.6

Description Provides functions for the computation of functional elastic shape means over sets of open planar curves. The package is particularly suitable for settings where these curves are only sparsely and irregularly observed. It uses a novel approach for elastic shape mean estimation, where planar curves are treated as complex functions and a full Procrustes mean is estimated from the corresponding smoothed Hermitian covariance surface. This is combined with the methods for elastic mean estimation proposed in Steyer, Stöcker, Greven (2022) [doi:10.1111/biom.13706](doi:10.1111/biom.13706). See Stöcker et. al. (2022) [arXiv:2203.10522](arXiv:2203.10522) for details.

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```
compute_elastic_shape_mean
```

Compute an elastic full Procrustes mean for a collection of curves

## Description

Computes an elastic full Procrustes mean for curves stored in data_curves. Constructor function for class elastic_shape_mean.

## Usage

compute_elastic_shape_mean( data_curves,
knots $=\operatorname{seq}(0,1$, len = 13),
type = c("smooth", "polygon"),
penalty $=2$,
var_type = c("smooth", "constant", "zero"),
pfit_method = c("smooth", "polygon"),
smooth_warp $=$ function(i) 0,
eps $=0.05$,
max_iter = 50,
verbose = FALSE,
cluster = NULL
)

## Arguments

data_curves list of data.frames with observed points in each row. Each variable is one coordinate direction. If there is a variable $t$, it is treated as the time parametrization, not as an additional coordinate.
knots set of knots for the mean spline curve
\(\left.$$
\begin{array}{ll}\text { type } & \begin{array}{l}\text { if "smooth" linear srv-splines are used which results in a differentiable mean } \\
\text { curve if "polygon" the mean will be piecewise linear. } \\
\text { the penalty to use in the covariance smoothing step. use '-1' for no penalty. } \\
\text { (experimental) assume "smooth", "constant" or "zero" measurement-error vari- } \\
\text { ance along t }\end{array}
$$ <br>

penalty \& (experimental) "smooth" or "polygon"\end{array}\right\}\)| var_type | (experimental) controls the weighting of original and smoothed observations <br> over the iterations, if pfit_method = "smooth". |
| :--- | :--- |
| smooth_warp |  |

Value
an object of class elastic_shape_mean, which is a list with entries

| type | "smooth" if mean was modeled using linear srv-splines, "polygon" if constant <br> srv-splines |
| :--- | :--- |
| coefs | spline coefficients |
| knots | spline knots |
| variance | sample elastic shape variance |
| data_curves | list of data.frames with observed points in each row. First variable t gives <br> the initial parametrization, second variable t_optim the optimal parametrization |
|  | when the curve is aligned to the mean. Has the attributes 'rotation', 'scaling', <br> 'translation' and 'dist_to_mean'. Use get_procrustes_fit to get the elastic <br> full Procrustes fit. |
| fit | see fit_mean |

## Examples

```
curve <- function(t){
    rbind(t*\operatorname{cos(13*t), t*sin(13*t))}
}
set.seed(18)
data_curves <- lapply(1:4, function(i){
    m <- sample(10:15, 1)
    delta <- abs(rnorm(m, mean = 1, sd = 0.05))
    t <- cumsum(delta)/sum(delta)
    data.frame(t(curve(t)) + 0.07*t*matrix(cumsum(rnorm(2*length(delta))),
                ncol = 2))
})
#randomly rotate and scale curves
rand_scale <- function(curve){ ( 0.5 + runif(1) ) * curve }
rand_rotate <- function(curve){
    names <- colnames(curve)
```

```
    theta <- 2*pi*runif(1)
    mat <- matrix(c(cos(theta), sin(theta), -sin(theta), cos(theta)), nrow = 2, ncol = 2)
    curve.rot <- as.matrix(curve) %*% t(mat)
    curve.rot <- as.data.frame(curve.rot)
    colnames(curve.rot) <- names
    return(curve.rot)
}
data_curves <- lapply(data_curves, rand_scale)
data_curves <- lapply(data_curves, rand_rotate)
#compute smooth procrustes mean with 2 order penalty
knots <- seq(0,1, length = 11)
elastic_shape_mean <- compute_elastic_shape_mean(
    data_curves,
    knots = knots,
    type = "smooth",
    penalty = 2
    )
plot(elastic_shape_mean)
```

elastes elastes: Elastic Full Procrustes Means for Sparse and Irregular Pla-
nar Curves

## Description

Provides functions for the computation of functional elastic shape means over sets of open planar curves. The package is particularly suitable for settings where these curves are only sparsely and irregularly observed. It uses a novel approach for elastic shape mean estimation, where planar curves are treated as complex functions and a full Procrustes mean is estimated from the corresponding smoothed hermitian covariance surface, which is combined with the methods for elastic mean estimation proposed in Steyer, Stöcker, Greven (2022). See Stöcker et. al. (2022) for details on the method.

## Details

Compute a mean for a set of observed curves: compute_elastic_shape_mean

## Description

Finds optimal rotation and scaling alignment for a discrete open srv curve to a smooth curve

## Usage

fit_alignment_proc2d(
q,
type,
knots,
var_type,
coefs.compl,
method,
cov_fit,
pca,
L
)

## Arguments

| q | complex srv curve with parametrization, needs to be vectorized. The result of a <br> call to get_model_data_complex |
| :--- | :--- |
| type | spline degree |
| knots | basis knots |
| var_type | either "smooth" or "constant" measurement error in cov_fit object |
| coefs.compl | complex coefficients of smooth curve |
| method | temp |
| cov_fit | temp |
| pca | temp |
| L | temp |

## Value

optimal rotation G and scaling b

## fit_mean Mean estimation for open planar curves.

## Description

Fits an elastic full Procrustes mean for open, planar curves. Is usually called from compute_elastic_shape_mean.

## Usage

```
fit_mean(
    srv_data_curves,
    knots,
    penalty,
    var_type,
```

```
    pfit_method,
    max_iter,
    type,
    eps,
    cluster,
    verbose,
    smooth_warp
)
```


## Arguments

```
    srv_data_curves
```

                                    list of data.frames with srv vectors in each row.curves
    knots set of knots for the mean spline curve
    penalty the penalty to use in the covariance smoothing step. use '-1' for no penalty.
    var_type (experimental) assume "smooth", "constant" or "zero" measurement-error vari-
        ance along t
    pfit_method (experimental) "smooth" or "polygon"
    max_iter maximal number of iterations
    type if "smooth" linear srv-splines are used which results in a differentiable mean
        curve if "polygon" the mean will be piecewise linear.
    eps the algorithm stops if L2 norm of coefficients changes less
    cluster a cluster object for use in the bam call
    verbose print iterations
    smooth_warp (experimental) controls the weighting of original and smoothed observations
        over the iterations, if pfit_method \(==\) "smooth".
    
## Value

## a list with entries

type $\quad$ "smooth" or "polygon"
coefs coefs srv spline coefficients of the estimated mean
knots spline knots
penalty penalty used in the covariance estimation
distances distances to mean
fit a list containing t_optimsoptimal parametrizations G_optimsoptimal rotations b_optimsoptimal scalings n_optimsoptimal re-normalization n_iternumber of iterations until convergence gram the mean basis Gram matrix, cov_fit the covariance smoothing objects in the final iteration, cov_pca cov coef matrix pca object in the final iteration and pfit_coefs the mean basis coefs of smoothed pfits in the final iteration

## Description

Calculate the center of a curve

## Usage

get_center(curve)

## Arguments

curve a data.frame with observed points in each row. Each variable is one coordinate direction. If there is a variable $t$, $t$ _optim or id, it is treated as the time parametrization, not as an additional coordinate.

## Value

The average of observed points in curve.

```
get_distance Distance to a smooth curve
```


## Description

Finds the distance of a discrete open srv curve to a smooth curve

## Usage

get_distance(srv_curve, s, q, eps = 10 * .Machine\$double.eps)

## Arguments

srv_curve srv transformation of the smooth curve, needs to be vectorized
$\mathrm{s} \quad$ time points for q , first has to be 0 , last has to be 1
$\mathrm{q} \quad$ square root velocity vectors, one less than time points in s
eps convergence tolerance

## Value

distance between srv_curve and q

```
get_evals Evaluate a curve on a grid
```


## Description

Evaluate a curve on a grid

## Usage

get_evals(curve, t_grid $=$ NULL, ...)
\#\# S3 method for class 'data.frame'
get_evals(curve, t_grid $=$ NULL, ...)
\#\# S3 method for class 'elastic_shape_mean'
get_evals(curve, t_grid = NULL, centering = TRUE, srv = FALSE, ...)

## Arguments

| curve | a one parameter function which is to be evaluated on a grid |
| :--- | :--- |
| $t \_g r i d$ | the curve is evaluated at the values in $t \_$grid, first value needs to be 0, last value <br> needs to be 1. If $t \_g r i d ~=~ N U L L, ~ a ~ d e f a u l t ~ r e g u l a r ~ g r i d ~ w i t h ~ g r i d ~ l e n g t h ~$ <br> chosen |
| $\ldots .01$ is |  |
| centering | other arguments |
| srv | TRUE if curves shall be centered |
|  | TRUE if SRV curve shall be evaluated |

## Value

a data. frame with evaluations of the curve at the values in $t$ _grid in its rows.

## See Also

See get_evals for the original code.

## Examples

```
curve <- function(t){c(t*sin(10*t), t*\operatorname{cos(10*t))}}
```

plot(get_evals(curve), type = "b")

```
get_optimal_t Finds optimal alignment for discrete open curves
```


## Description

Finds optimal aligned time points for srv curve $q$ to srv curve $p$ using coordinate wise optimization.

## Usage

```
get_optimal_t(srv_procrustes_curves, coefs, t_optims, type, knots, eps, i)
```


## Arguments

| srv_procrustes_curves |  |
| :--- | :--- |
|  | scaling and rotation aligned srv curves |
| coefs | mean coefficients |
| t_optims | current optimal parametrization |
| type | "smooth" or "polygon" |
| knots | mean basis knots |
| eps | convergence tolerance |
| i | current iteration |

## Value

optimal time points for srv_data_curves, without first value 0 and last value 1 optimal time points have the distance of the observation to the srv_curve as an attribute

```
get_polygon_length Calculate the polygon length of a curve
```


## Description

Calculate the polygon length of a curve

## Usage

get_polygon_length(curve)

## Arguments

curve a data.frame with observed points in each row. Each variable is one coordinate direction. If there is a variable $t$, $t$ _optim or id, it is treated as the time parametrization, not as an additional coordinate.

## Value

The length of curve, treating it as a polygon.

## Description

Compute the Procrustes aligned data curve...

## Usage

get_procrustes_fit(data_curve)

## Arguments

data_curve A data.frame in an elastic_shape_mean object.

## Value

Aligned data_curve as a data.frame.

```
get_Procrustes_fit_from_param
Helper functions for calculating Procrustes data curve from rotation, scaling and translation parameters.
```


## Description

Compute the Procrustes fit given optimal rotation, scaling and translation.

```
Usage
    get_procrustes_fit_from_param(
    data_curve,
    rot,
    scale,
    plength,
    trans,
    norm_factor
)
```


## Arguments

data_curve A data.frame with observed points on a curve. Each row is one point, each variable one coordinate direction. If there is a variable $t$, it is treated as the time parametrization, not as an additional coordinate.
rot The rotation (in radian).
scale The scaling.
plength The polygon length of the original curve.
trans The translation.
norm_factor The normalization factor from the smooth curve estimate.

```
plot.elastic_shape_mean
Plot method for planar elastic Procrustes mean curves
```


## Description

Plots objects of class elastic_shape_mean.

## Usage

```
## S3 method for class 'elastic_shape_mean'
plot(x, srv = FALSE, centering = TRUE, asp = 1, col = "red", ...)
```


## Arguments

x
srv TRUE if the SRV curve should be plotted
centering
asp
col color of the mean curve.
... further plotting parameters.

## Value

No return value, called for side effects.

## See Also

For examples see documentation of compute_elastic_shape_mean. See plot.elastic_mean for the original code.

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